

THE STATE HORSE RACING COMMISSION  
PENN NATIONAL RACE COURSE  
777 HOLLYWOOD BLVD  
GRANTVILLE, PA 17028  
PHONE: (717) 469-3223

THE STATE HORSE RACING COMMISSION  
PARX RACING  
3255 RICHLIEU ROAD  
BENSALEM, PA 19020  
PHONE: (267) 223-3339

THE STATE HORSE RACING COMMISSION  
PRESQUE ISLE DOWNS  
PO BOX 10728  
ERIE, PA 16514  
PHONE : (814) 860-8972

**THE STATE HORSE RACING COMMISSION – BUREAU OF THOROUGHBRED RACING**

PLEASE SEND APPLICATION AND APPROPRIATE FEES  
(Refer to Fee Schedule) TO ONE OF THE RACE TRACKS  
LISTED AT THE TOP OF APPLICATION

CHECK OR MONEY ORDER ONLY

**ORIGINAL  
APPLICATION**  
Owner, Trainer, Stable  
(Print In Black Ink or Type)  
THREE YEAR LICENSE

OFFICE USE ONLY

License No. \_\_\_\_\_  
Date Issued \_\_\_\_\_  
Approved By \_\_\_\_\_  
Fingerprints taken Yes \_\_\_ No \_\_\_

Classification(s) to be licensed as: \_\_\_\_\_

1. Name (Print) \_\_\_\_\_  
Last First Middle

2. Permanent Mailing Address \_\_\_\_\_  
at which service of all papers may be made upon you

3. Email Address \_\_\_\_\_

4. Present Address \_\_\_\_\_

5. Social Security No. (Last 4 digits only) \_\_\_\_\_ 6. Telephone No. \_\_\_\_\_

7. Date of Birth \_\_\_\_\_ 8. United States Citizen? Yes  No

9. Are you a public employee, an elected public officer, or a political party officer in Pennsylvania? Yes  No   
If yes, give details salary, if any, position and location. \_\_\_\_\_

10. Have you been fingerprinted by The State Horse Racing Commission? Yes  No

11. Have you ever been denied a license? If yes, where and when? \_\_\_\_\_ Yes  No

12. Have you or any of your immediate family ever owned, operated or been connected with a handbook or booking establishment, or been associated with bookmakers? \_\_\_\_\_  
If yes, give all particulars: \_\_\_\_\_ Yes  No

13. Are you a stockholder in any racing association in Pennsylvania? Yes  No

14. Have you been arrested for any crime (except traffic violation), including driving while intoxicated or vehicular homicide? Yes  No   
If yes, give all particulars: \_\_\_\_\_

15. Have you been convicted for any crime (except traffic violation), including driving while intoxicated or vehicular homicide? Yes  No   
If yes, give all particulars: \_\_\_\_\_

16. Have you ever been ruled off, suspended or otherwise barred from participating in racing by any racing organization, commission or other recognized turf authority? If so, state when, where and by whom the ruling or rulings were made and the offense or offenses charged: \_\_\_\_\_ Yes  No

17. Has any indictment been returned or complaint or information made against you by the United States or any State charging sale, use or possession of narcotics or controlled substances? Give complete details and disposition: \_\_\_\_\_ Yes  No

18. If married give Spouse's name \_\_\_\_\_

19. To the best of your knowledge, has your (husband or wife) ever been ruled off or suspended? Yes  No

20. To the best of your knowledge, has your (husband or wife) ever been convicted:  
a. of bookmaking Yes  No   
b. of the use, sale or possession of narcotics Yes  No   
c. of any other crime Yes  No

21. Do you now have or will you have, employees working for you on the track? If YES, you are required by the Pennsylvania Workman's Compensation Act to secure current insurance to provide for compensation for all such employees. Yes  No

22. WC Policy #: \_\_\_\_\_ 23. Name of Company \_\_\_\_\_ 24. Policy Exp. Date \_\_\_\_\_

25. STABLE NAME: \_\_\_\_\_

26. List below the names and addresses of all individuals, partnerships, or corporations using the above STABLE NAME.

27. **OWNERS ONLY**-- List all horses in training owned (wholly or in part) or leased by you. (If leased, insert capital "L" beside name of horse). (Use separate sheet, if necessary.)

(Name)

(Purchased From)

(Address)

28. Name of Company \_\_\_\_\_

29. Occupation \_\_\_\_\_ Since? \_\_\_\_\_

30. Company address \_\_\_\_\_

31. Bank Name \_\_\_\_\_ 32. Bank Address \_\_\_\_\_

33. Have you ever been previously licensed as an owner in Pennsylvania? Yes  No  34. Years \_\_\_\_\_

35. How long have you owned race horses? \_\_\_\_\_

36. If currently owned in partnership with other owners, give names and Addresses of all partners \_\_\_\_\_

37. List Trainer's Name: \_\_\_\_\_

38. In what state and year were you first granted an owner's license? \_\_\_\_\_

39. Currently licensed? Yes  No  List all state licenses currently held. \_\_\_\_\_

40. The following liens, mortgages or deferred payments are outstanding on the aforementioned horses: \_\_\_\_\_

41. Horse \_\_\_\_\_ 42. Name of encumbrance holder \_\_\_\_\_

43. Name of encumbrance \_\_\_\_\_

**44. COLORS REGISTRATION ONLY -- \$15.00 FEE -- Required with Owner application**

45. Jacket Color \_\_\_\_\_

46. Additional Jacket Description \_\_\_\_\_

47. Sleeves Color \_\_\_\_\_

48. Collar Color \_\_\_\_\_ 49. Cap Color \_\_\_\_\_

50. Horses are to run in the name of \_\_\_\_\_



51. **TRAINER ONLY** -- Have you ever been previously licensed as a Trainer in Pennsylvania? Yes  No

52. What Years? \_\_\_\_\_ 53. Do you hold a Trainer's license at present time? Yes  No

54. What States? \_\_\_\_\_

55. If not previously licensed, is required examination completed? Yes  No  Results: Passed  Failed

56. Examining Committee: \_\_\_\_\_

Steward

Licensed Trainer

57. **ASSISTANT TRAINER ONLY** -- Have you been previously licensed as an Assistant Trainer in Pennsylvania? Yes  No

58. What Years? \_\_\_\_\_ 59. Do you hold a Trainer's license at present time? Yes  No

60. What States? \_\_\_\_\_

61. Recommended by: \_\_\_\_\_

Name \_\_\_\_\_

Address \_\_\_\_\_

62. In making this application for a license to participate in Thoroughbred racing, it is understood that an investigative report may be made whereby information is obtained through personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors, or others with whom you are acquainted. This inquiry includes information as to your character, general reputation, personal characteristics, and mode of living, which may be applicable. You have the right to make a written request within reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation. It is further understood and agreed to that any license issued on the basis of this application is temporary only for a period of ninety (90) days and will be made permanent only after investigation and final determination of any question relative to the issuance of said license pursuant of section 163.58 of the Pennsylvania Rules of Racing, as amended.

**63. To The State Horse Racing Commission**

**The undersigned hereby make application for a license in accordance with the Rules and Regulations of The State Horse Racing Commission.**

By submitting this application, the undersigned does hereby agree to abide by the Rules and Regulations of The State Horse Racing Commission, the Laws of the United States of America, the Commonwealth of Pennsylvania, municipalities and other subdivisions thereof, and does hereby consent to any provisions which may be contained in any of them for the search, within the grounds of a racing association, of any premises which I occupy or control or have the right to occupy or control and of my personal property and effects, in the seizure of any article, the having of which within such grounds may be forbidden. I further agree to accept the decision of the Racing Officials as final on any matter relating thereto, or to a race or racing. My signature acknowledges that I will comply with drug/alcohol testing when requested by the Commission.

I hereby certify that I have read the foregoing application and that every statement contained therein is true and correct set forth, and I do hereby agree as a condition precedent to the receiving of said license that the same may at any time be revoked, cancelled, temporarily suspended or within by said The State Horse Race Commission for cause, and said license may be revoked at any time for misstatements or omissions in the foregoing application, or for any violation of the Rules of Racing of The State Horse Racing Commission or of any other legally constituted racing authority in the United States or elsewhere.

I verify that the above statements are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. Section 4904 relating to unsworn falsifications to authorities and may result in criminal prosecution and denial, suspension or revocation of my license.

64. \_\_\_\_\_ 65. \_\_\_\_\_ 66. \_\_\_\_\_

Approved – Commission Official  
(Official Use Only)

Signature of Applicant

Date