

# Experiences of Centers Routinely Using Probiotics



Probiotics and the Prevention of NEC,  
Death, and Sepsis

# Save the dates!

- ▶ **Monday, May 6 at 12pmET**

Practical Considerations and Consent

- UC Davis
- Emory University
- Patient-family perspective

- ▶ **June 2 - 5, 2019**

NEC Symposium in Ann Arbor, MI

JUNE 2-5  
ANN ARBOR MI

# NEC SYMPOSIUM 2019

NURSE PRACTITIONERS PED. SURGEONS  
NEONATOLOGISTS INDUSTRY SCIENTISTS  
NON-PROFITS NURSES PATIENT-FAMILIES

**HIGHLIGHTS:**

- Prevention and early detection of NEC
- Human milk and NEC
- Patient-family centered care in NEC prevention
- Animal models of NEC
- Probiotics and NEC
- NEC registry and biorepository
- Treatment and neurodevelopmental outcomes

**TO REGISTER  
& FOR THE FULL AGENDA:**  
<https://necsymposium.eventbrite.com>



# Disclaimer:

This an educational webinar series.

The NEC Society and invited speakers are not marketing any probiotic products, which are not currently FDA approved for the prevention of necrotizing enterocolitis or other neonatal diseases.



- ▶ Jennifer Canvasser  
with son, Micah
- ▶ Founder, Director of  
NEC Society
- ▶ Vision: create a world  
without NEC
- ▶ [Jennifer@NECsociety.org](mailto:Jennifer@NECsociety.org)

# NEC SOCIETY





# Webinar Faculty



Jennifer Canvasser, MSW  
Founder, Director  
NEC Society



Mark Undewood, MD, MAS  
Professor of Pediatrics  
UC Davis, CA  
Scientific Advisor, NEC Society



Ravi Patel, MD, MSc  
Associate Professor of Pediatrics  
Emory University, Atlanta, GA  
Scientific Advisor, NEC Society

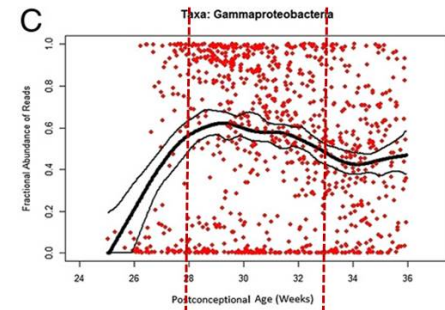


# THLs from webinar #1

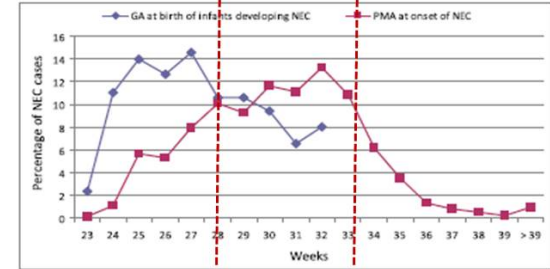
Intestinal dysbiosis is common and plays a central role in NEC pathogenesis

Probiotics decrease the risk of NEC, death and sepsis in VLBW and ELBW infants

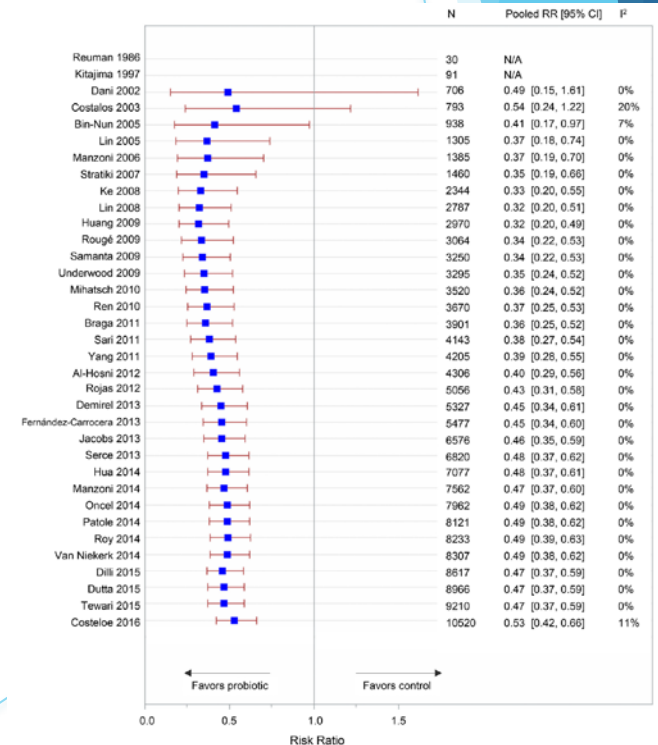
## Gut Microbiota



922 samples from 58 preemies La Rosa, PNAS 2014



16,669 infants < 33 weeks Yee WH, Pediatrics 2012



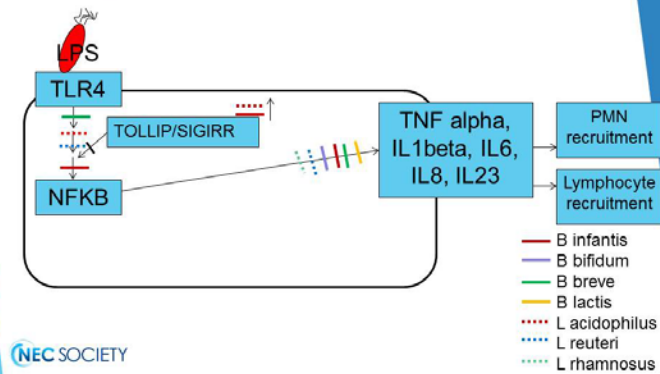
# THLs from webinar #1

Mechanisms: alter microbiota,  
decrease inflammation,  
decrease intestinal permeability

No clear best product choice

Parents want to discuss NEC,  
human milk and probiotics  
(resources available at  
[NECSociety.org](http://NECSociety.org))

Decrease pro-inflammatory  
response



# Overview of today's webinar

## Welcome and introduction

- ▶ Jennifer Canvasser, MSW and Mark Underwood, MD, MAS

## Experiences of centers:

- ▶ University of Utah
  - ▶ Maggie Sekhon, MD and Brad Yoder, MD
- ▶ Northern California Kaiser Permanente
  - ▶ Allen Fischer, MD
- ▶ Southern California Kaiser Permanente
  - ▶ David Braun, MD
- ▶ Emory University
  - ▶ Ravi Patel, MD, MSc

## Q&A with speakers

# Today's Guest Faculty Speakers



**Dr. Bradley Yoder**  
University of Utah



**Dr. David Braun**  
Kaiser Permanente,  
Southern California



**Dr. Maggie Sekhon**  
University of Utah



**Dr. Allen Fischer**  
Kaiser Permanente,  
Northern California

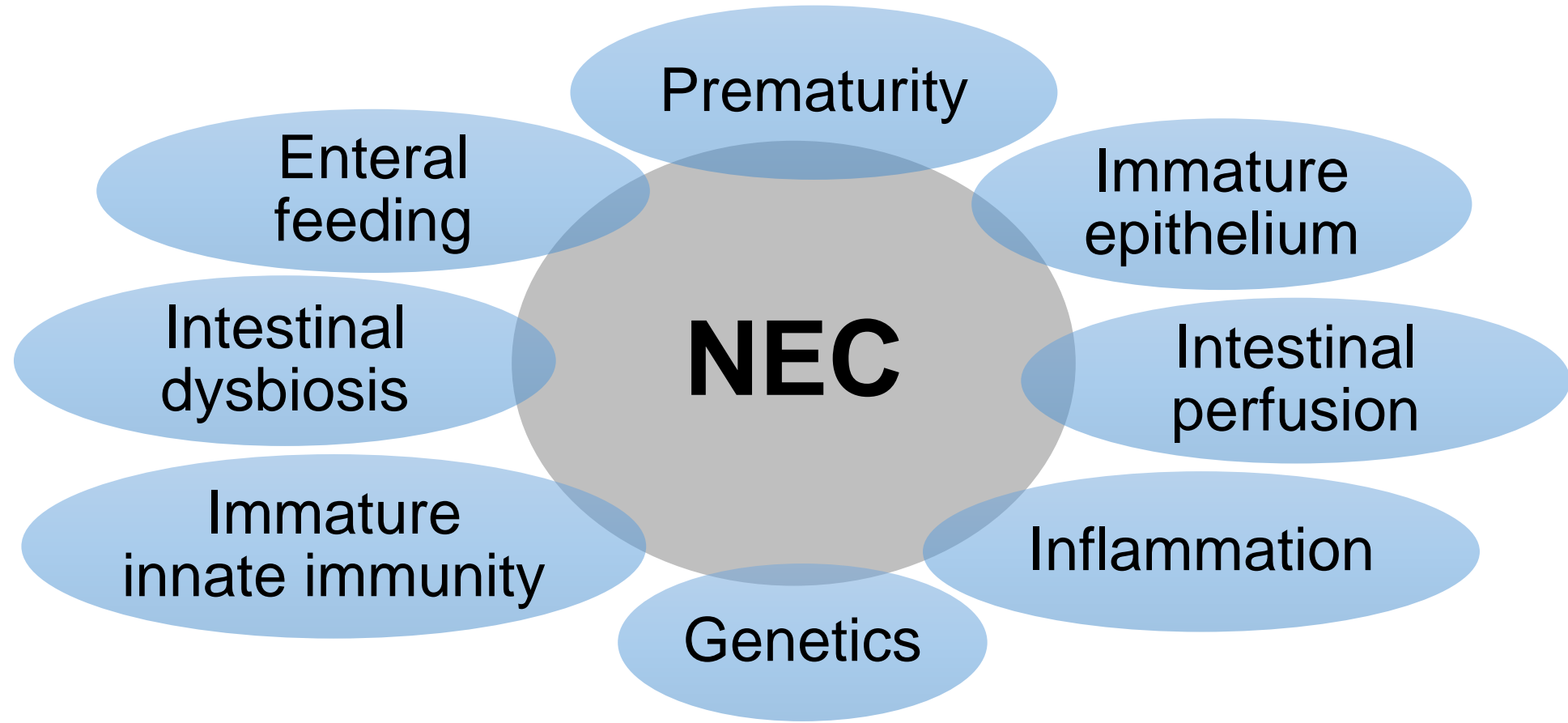
# Reducing rates of NEC using a probiotic protocol: the University of Utah experience

Maggie K Sekhon & Bradley A Yoder

Division of Neonatology

University of Utah School of Medicine

# What contributes to NEC risk?



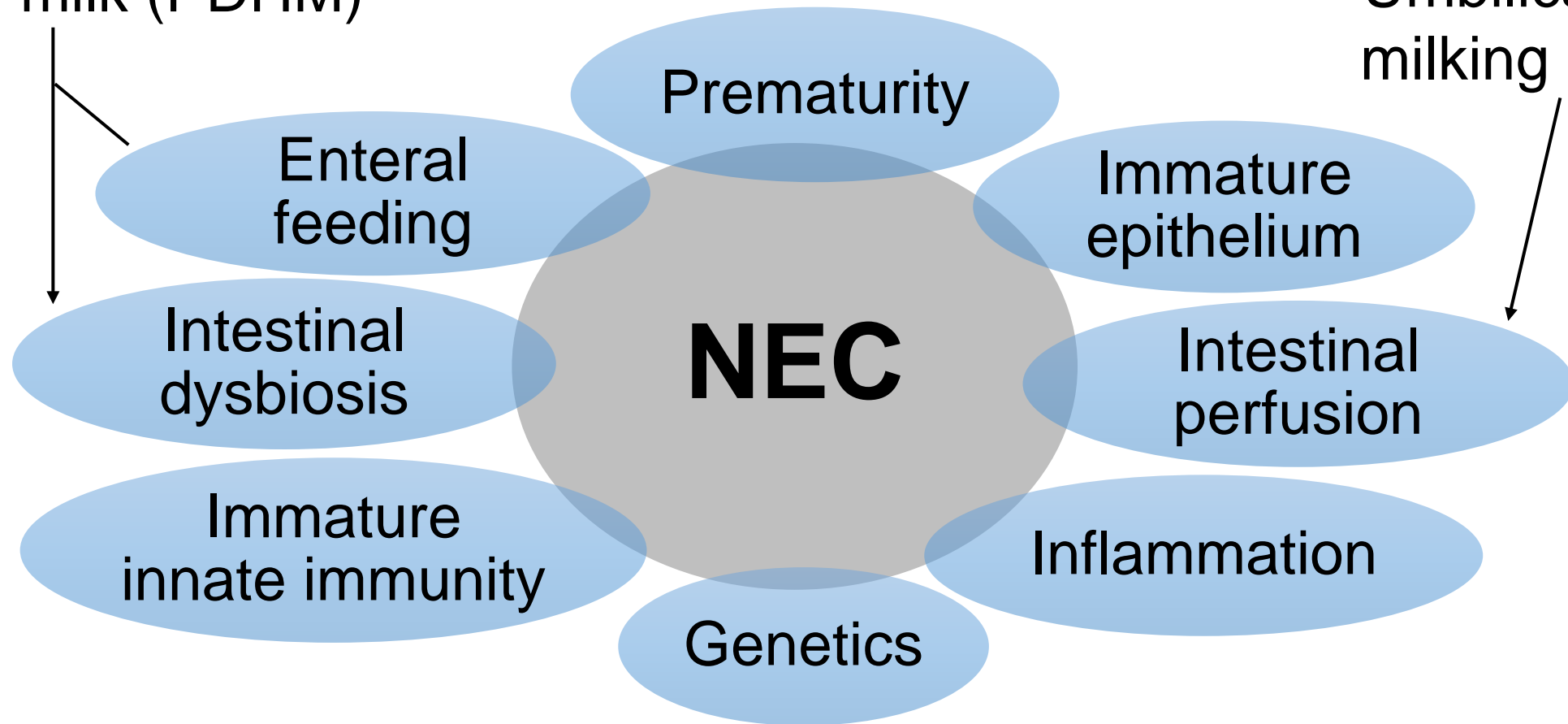
# Interventions to decrease NEC

**June 2013:**

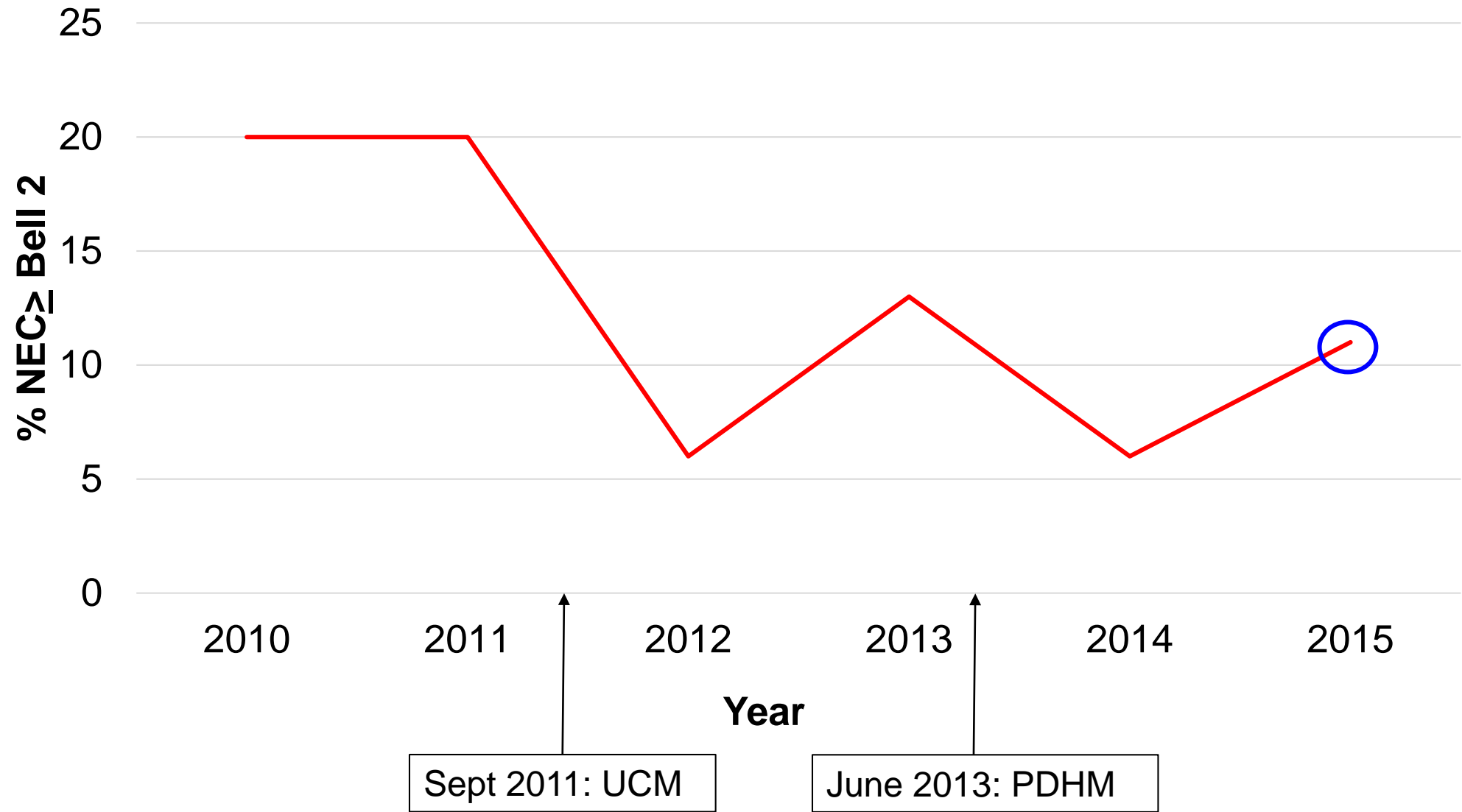
Pasteurized donor  
human milk (PDHM)

**Sept 2011:**

Umbilical cord  
milking (UCM)



# Decrease in NEC in <30 weeks gestation with UCM & PDHM

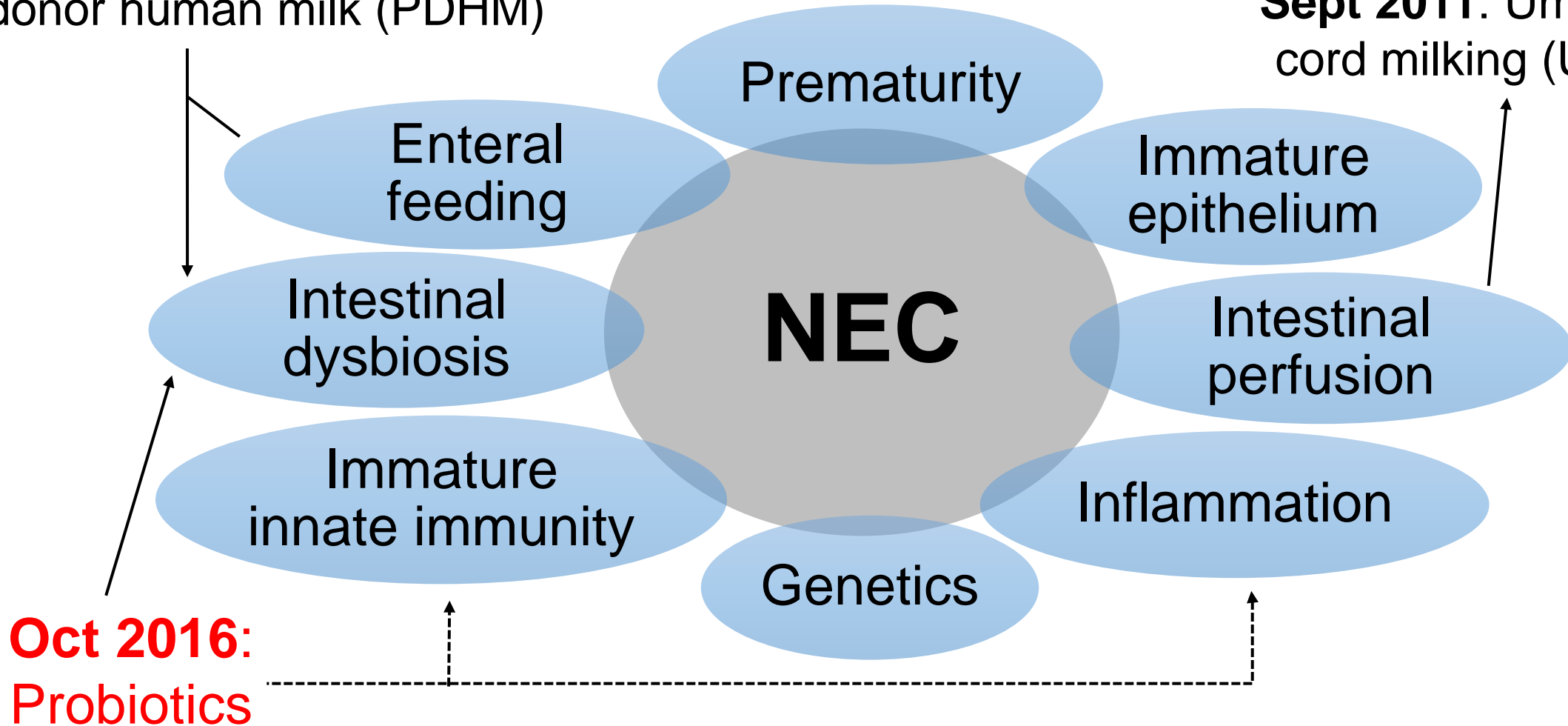




# What next?

**June 2013:** Pasteurized donor human milk (PDHM)

**Sept 2011:** Umbilical cord milking (UCM)



# Aim Statement

To achieve a 50% reduction in NEC Bell Stage  $\geq 2$  by Oct 2018 in infants born  $<33$  weeks gestation or  $<1500\text{g}$

## Aim

## Primary Drivers

## Secondary Drivers

## Interventions

Utilize a probiotic protocol to achieve a 50% reduction in rates of NEC  $\geq$  Bell 2 in infants  $< 33^{0/7}$  weeks gestation or  $< 1500g$  by Oct 2018

Ensure eligible patients receive probiotic

Address provider concerns

Prevent probiotic contamination

Patient identification process

Track probiotic administration

Protocol development

Education

Prevent & monitor adverse events

Establish inclusion and exclusion criteria

Pharmacist to screen eligible patients and notify providers on daily rounds

Pharmacy handoff tool to include section for "probiotics by 72h"

EMR order for probiotic

EMR order detection

Protocol to start and stop probiotic suspension

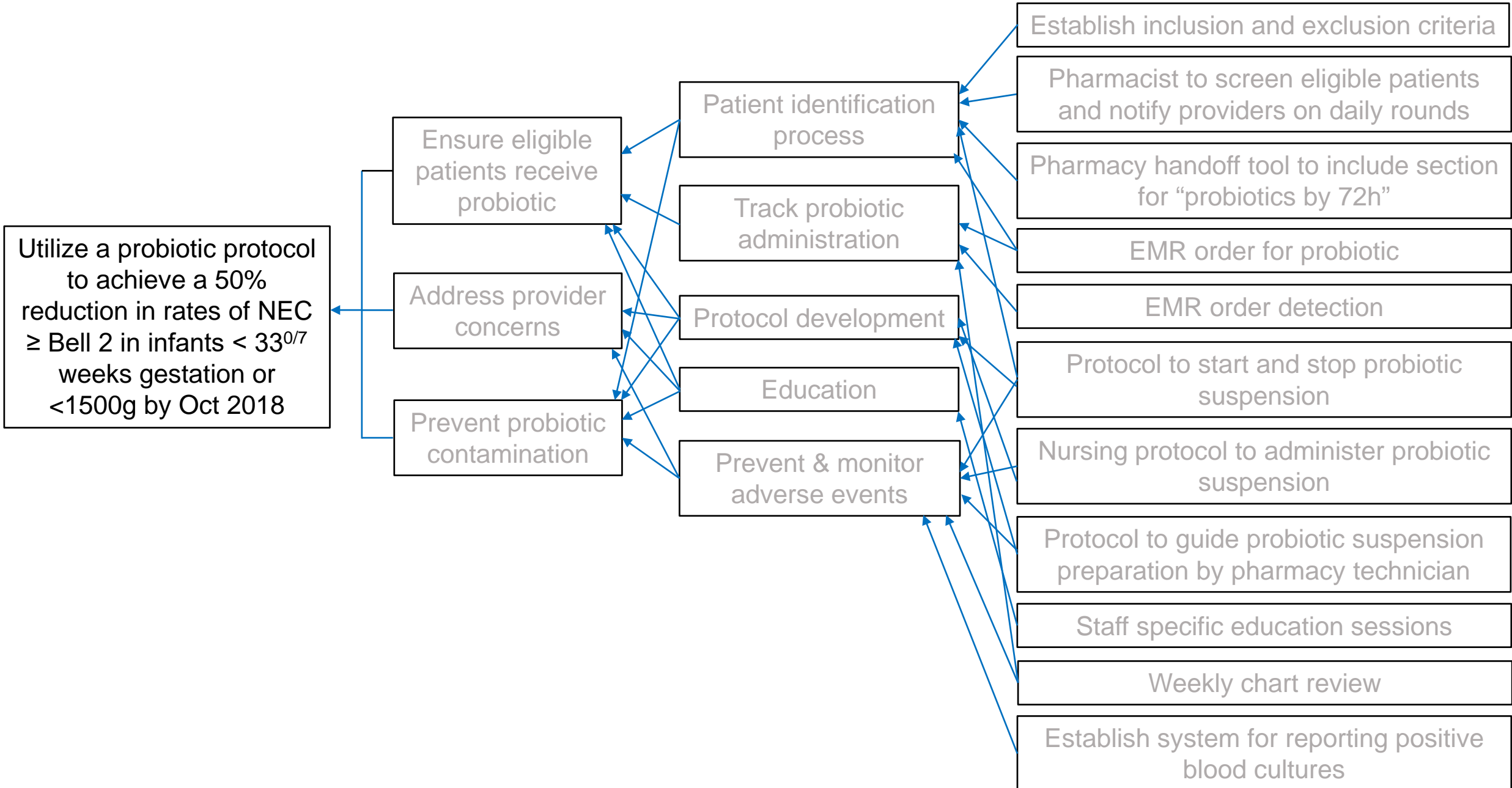
Nursing protocol to administer probiotic suspension

Protocol to guide probiotic suspension preparation by pharmacy technician

Staff specific education sessions

Weekly chart review

Establish system for reporting positive blood cultures



# Product

- *Ultimate Flora*
  - 4 *Bifidobacteria* (*B.breve*, *B.bifidum*, *B.infantis*, & *B.longum*)
  - *Lactobacillus rhamnosus*
  - $4 \times 10^9$  live cultures/1g
- Quality assurance:
  - Natural Health Products Regulations under Health Canada
  - Independent validation of component bacteria at the University of Iowa



# Protocol Summary

- Eligibility criteria:
  1.  $<33^{0/7}$  weeks gestation OR  $<1500\text{g}$
  2. Post-menstrual age  $\geq 24^{0/7}$  weeks
  3. 72 hours of age
  4.  $\geq 6$  ml/day enteral feedings for 24 hours
  5. No lethal anomalies/conditions or significant GI anomalies
- Discontinued at  $36^{0/7}$  weeks corrected gestational age

# PDSA cycles

Education/consensus building &  
intervention development



Probiotic protocol implementation:  
Oct 3, 2016



Intervention sustainment

# Measures

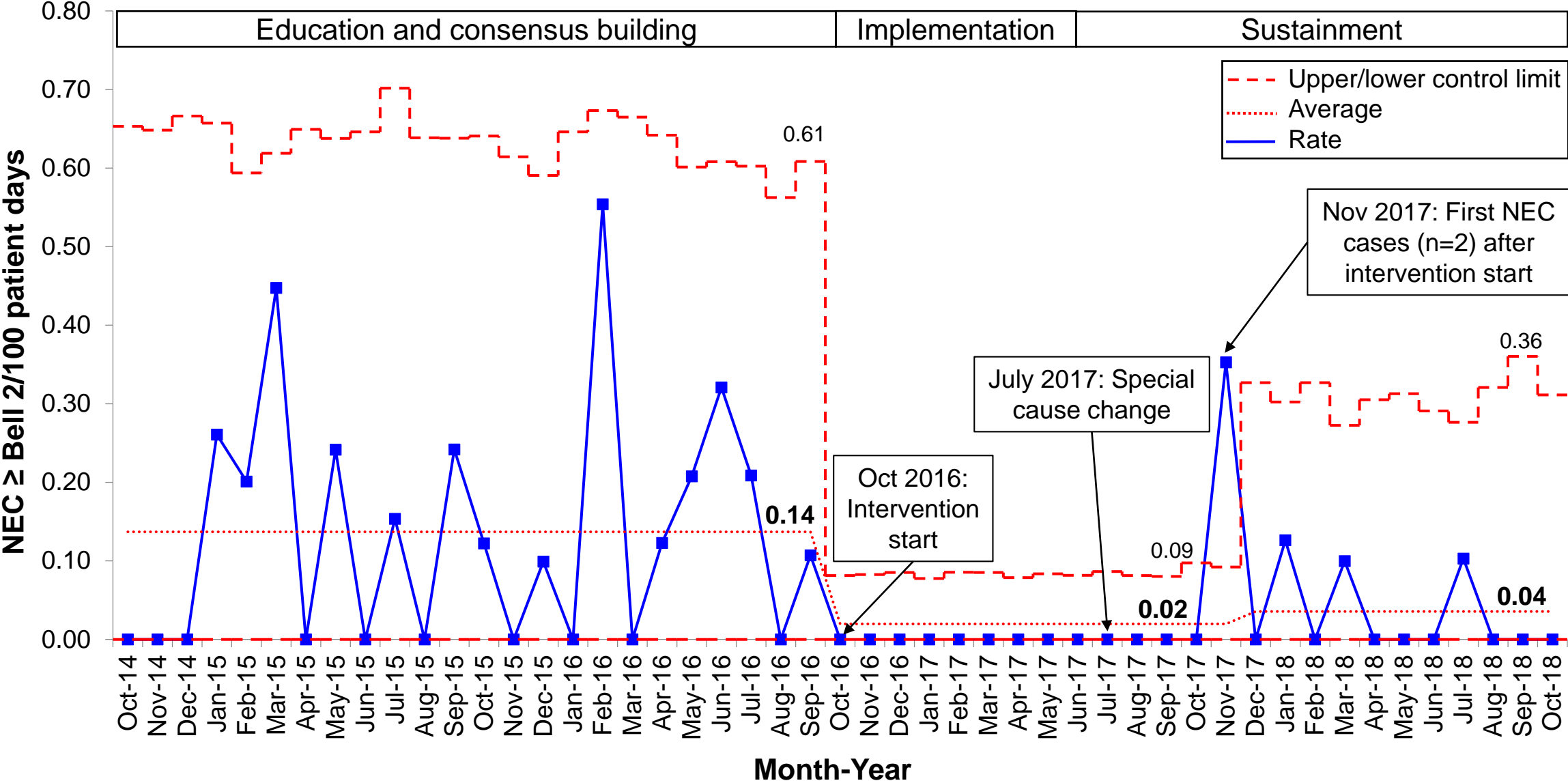
1. Monthly rate of NEC  $\geq$  Bell Stage 2 per 100 patient days
  - U chart with Laney correction
2. Process measure: protocol compliance
3. Balancing measure: probiotic sepsis

# Results

- 290 infants received probiotic (Oct 3, 2016 – Oct 31, 2018)
- Protocol compliance:
  - 1 (0.3%) ineligible patient received the probiotic
    - Post-natal diagnosis of coarctation of the aorta
  - 5 (1.5%) eligible patients were missed
    - No missed patients were diagnosed with NEC
- Balancing measure: No cases of probiotic sepsis



# Monthly NEC $\geq$ Bell 2 per 100 patient days



# NEC in probiotic period

<b>GA</b>	<b>Birth weight</b>	<b>NEC Mon-Year</b>	<b>NEC Day of life</b>	<b>NEC Class</b>	<b>Survived?</b>	<b>On probiotics?</b>
25 5/7	965	Nov-2017	15	Surgical	N	Yes
28 2/7	520	Nov-2017	11	Surgical	Y	Yes
28 5/7	1030	Jan-2018	3	Surgical	Y	No
26 2/7	705	Mar-2018	8	Bell 2	N	No
32 0/7	2010	Jul-2018	16	Bell 2	Y	Yes

# Conclusion

- Implementation of a probiotic protocol was associated with decreased rates of NEC  $\geq$  Bell Stage 2
- Factors key to success:
  - Informatics support to build a probiotic monitoring report
  - NICU pharmacist assigned role of patient identification
  - Routine monitoring of compliance & adverse outcomes

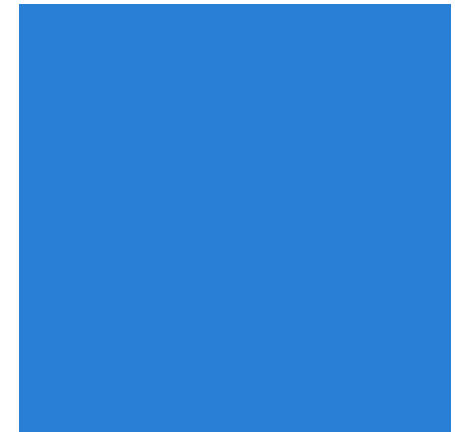


The University of Utah

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Division of Neonatology





# Bringing Probiotics into the NICUs of Kaiser Permanente SCAL

David Braun, MD  
Regional PIC, Neonatology  
Feb 23, 2019



# + Where KP SCAL was in 2015

## ■ Babies

- 41,000 births
- 600 little babies (GA < 32 wk or BW ≤ 1500 g)

## ■ NICUs

- 5 surgical level 3 NICUs
- 4 medical level 3 NICUs
- 4 level 2 NICUs

## ■ Neonatologists

- 65

## ■ NICU directors' committee

- 1

## ■ # of centers using probiotics

- 1

# + 2015: How it started

- 2015

- KP EBM study surveillance team concluded: time for probiotics

- 2015-2017

- Numerous discussions

- NICU opinion leader ad hoc group
- CME sessions
- NICU directors' committee discussions
- Outside experts brought in for formal consultation (eg Underwood)
- 1:1 discussions
- Pharmacy discussions

# + 2015-2017: Should we try probiotics at all?

What generated discomfort	Response
<b>Fear of that there isn't enough data to support probiotic use</b>	Tens of thousands of patients, dozens of RCTs, multiple meta-analyses. Much better literature support than most any intervention
<b>AAP says not to use them till FDA approves</b>	Quirks of US (FDA) treatment of probiotics (food vs drug) is practical obstacle to approve probiotics as drug
<b>Fear of nosocomial infection from contaminants (FDA issue 1)</b>	Overall nosocomial infection rate LOWER with probiotics. FDA was basically case report. Use high quality product
<b>Fear that organisms in products not of proper ID, viability, or titer (FDA issue 2)</b>	Publications distinguish between poor and high quality products
<b>Our NEC rates are already low</b>	Studies with similar starting NEC rates still show further drop in NEC
<b>Don't we need RCT to adopt probiotics into practice? We're not allowed to arbitrarily change standard of care.</b>	<p><b>Got formal legal opinion:</b> wide latitude allowed if plausible rationale</p> <p><b>Change:</b> the only perfectible practice is consistent practice</p> <p><b>Let's up our game:</b> choose changes in care rationally, implement consistently and then assess</p>



# + 2017: Which probiotic?

Criteria	FloraBaby	ABC Dophilus	Natren (B infantis)	Biogaia Protectis (L reuteri)	Evivo (B infantis ss)
Product quality (titer, constituent consistency)			+	++	++
Safety (no contaminants)	?		?	+	+
Not a powder (FDA issue)				+	+
Not a powder (ease of administration in NICU setting)				+	+
Efficacy (NEC)	+	+	++	+	++
Efficacy (nosocomial inf)			+	++	+
Efficacy (colonization, outcompeting pathogens)	+	+	++	+	+++
Safety/efficacy (gut-trophic metabolites)	+	+	+	+	+++



## 2017:

Agreed to encourage use of Biogaia Protectis or Gerber Soothe  
Tentative plan to change to Evivo when available



- Rationale for
  - Most appealing of products available at time
  - Probably change to Evivo (B infantis) when available
  - Would “break the ice” for using probiotics at all
- Target babies
  - VLBW or GA < 32 wk while feeding and GA < 34 wk
- Results:
  - Marked increase in use
  - No subjective complaints
  - No objective change in NEC, infection, length of stay, death

year	NICUs using Probiotics	Little Babies receiving Probiotics
2016	1 (7%)	3%
2017	10 (77%)	40%



2018:

Evivo now available

## Discomfort (MD and Pharmacy) with changing to Evivo



What generated discomfort	Response
<b>Biogaia is going well: why change?</b>	“Well”=ease of use, no obvious problems Expect as “well” or with Evivo
<b>Biogaia has efficacy: why change?</b>	Evivo likely to have significantly more efficacy
<b>Evivo is not on formulary and not on contract</b>	Got on formulary Got contract
<b>Heavy marketing by Evivo: are we caving to marketing?</b>	Marketing doesn’t mean product is worse Worked with Evolve Biosystem to decrease marketing
<b>Evivo much more expensive</b>	KP cost benefit analysis (drug costs vs acute hospital costs of NEC) Conclusion: same \$ for less disease
<b>Cost benefit analysis is just theoretical: why not wait for future studies</b>	Studies won’t be out for years at very least Likely form of study: Pragmatic (QI) trial So why don’t we be one of those pragmatic (QI) trials?
<b>We don’t do studies; we use our personal experience</b>	Personal experience is just a mediocre form of a study Why not up our game individually and as a profession? Let’s combine our efforts, let’s coordinate on this “The only perfectible practice is consistent practice”

## + Late 2018:

# Agreement to use Evivo exclusively for now

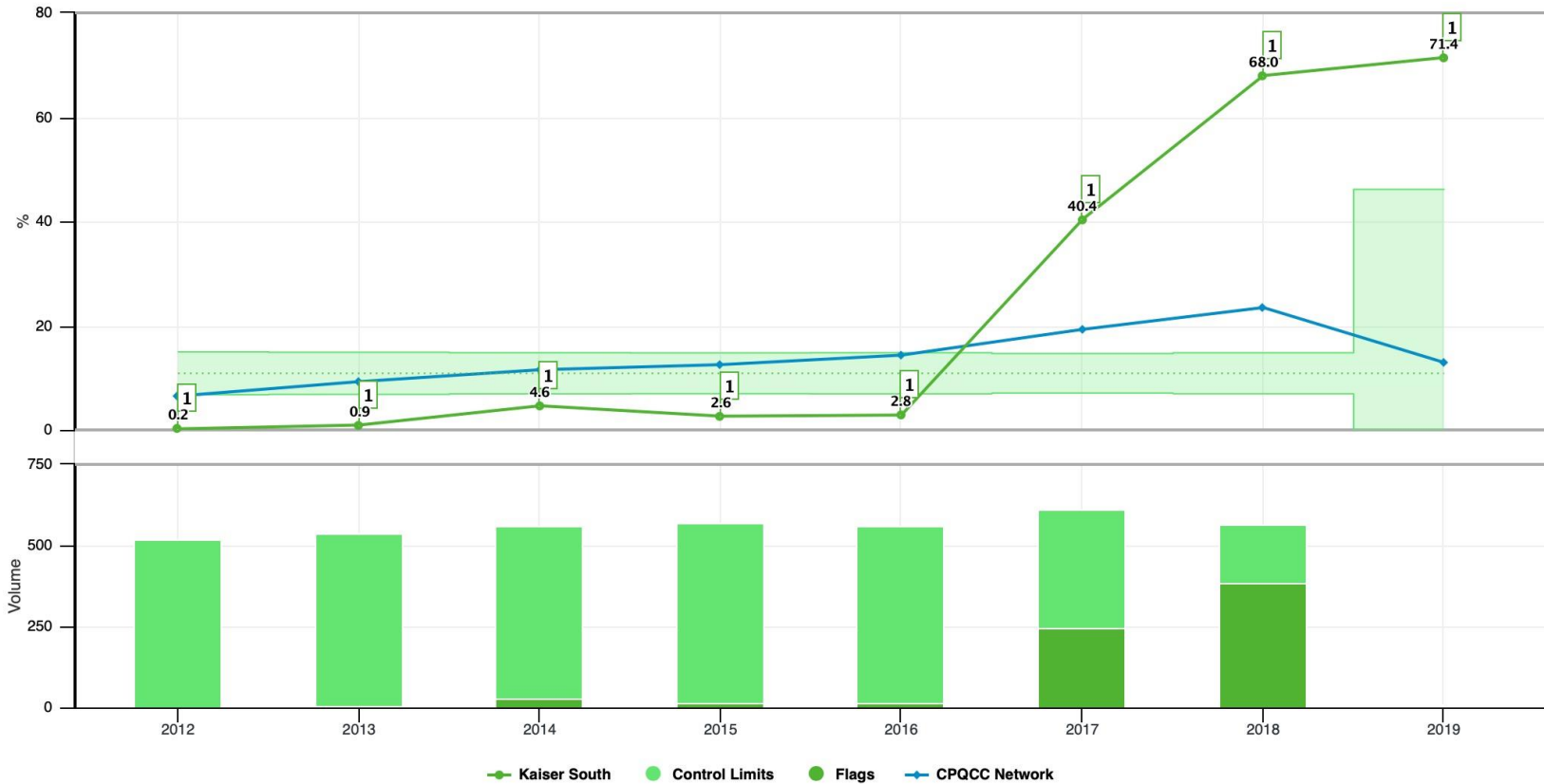
- **QI initiative (pragmatic trial)**
  - **Product:**
    - Evivo liquid
  - **Population**
    - GA < 32 wk or BW ≤ 1500 g or GI baby
  - **Dose:**
    - unit dose (8B CFU) daily
  - **Days to dose**
    - any day an enteral feeding is given
  - **Days not to dose**
    - Days baby not fed a feeding
    - Postmenstrual age ≥ 34 wk
  - **When to reassess this regimen**
    - N=2000 babies dosed
      - 80% power to pick up drop of NEC from 3% to 2%

# + Implementation so far: per eligible baby

## Biogaia or Evivo



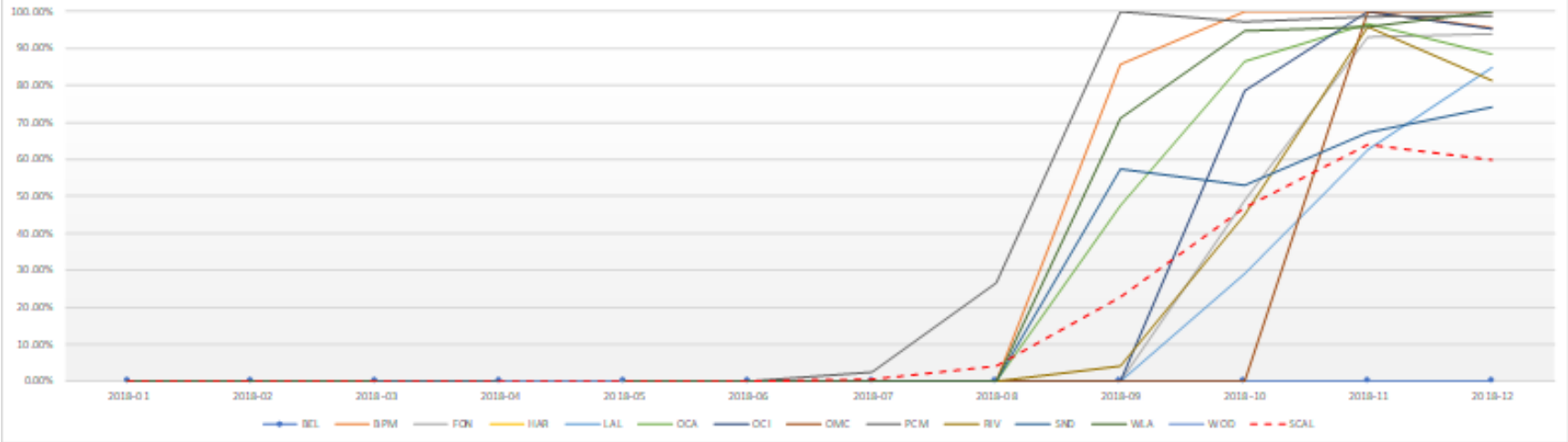
**Probiotics**  
 Infants 401 to 1500 grams or 22 to 31 Completed Weeks Gestation Born in 2012-2019  
 This chart is final for years 2017 and earlier. The chart is preliminary for 2018 and 2019 as the data collection is on-going.  
 Kaiser South Region



CPQCC

# + Implementation so far: per eligible day for Evivo

B infantis Dosing Frequency  
 on Dosing-Eligible Days: GA < 34 wk and received feeding  
 in babies born with GA < 32 wk and BW ≤ 1500 g



Facility

BEL	BPM	FON	HAR
LAL	OCA	OCC	OMC
PCM	RIV	SCAL	SND
WLA	WOD		



# Our Center's Experience with Routine Use of Probiotics

Ravi Mangal Patel, MD, MSc  
Associate Professor of Pediatrics  
Emory University School of Medicine and  
Children's Healthcare of Atlanta

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 [@ravimpatelmd](https://twitter.com/ravimpatelmd)  
[#preventNEC](https://twitter.com/preventNEC)

Disclosure: Probiotics are not approved by the US Food and Drug Administration for the prevention of NEC or other diseases in preterm infants. This webinar is intended to be educational in nature only.

# Context

- In 2013, we had a NEC incidence of 15% in very low birth weight (VLBW) infants (based on VON definition).
- Our center had started routine use of donor human milk as part of efforts to decrease NEC and we had begun discussions regarding the use of probiotics.
- In Nov of 2013, the ProPrems trial was published, which was important in our center's decision to begin routine use of probiotics as part of overall QI efforts to prevent NEC.

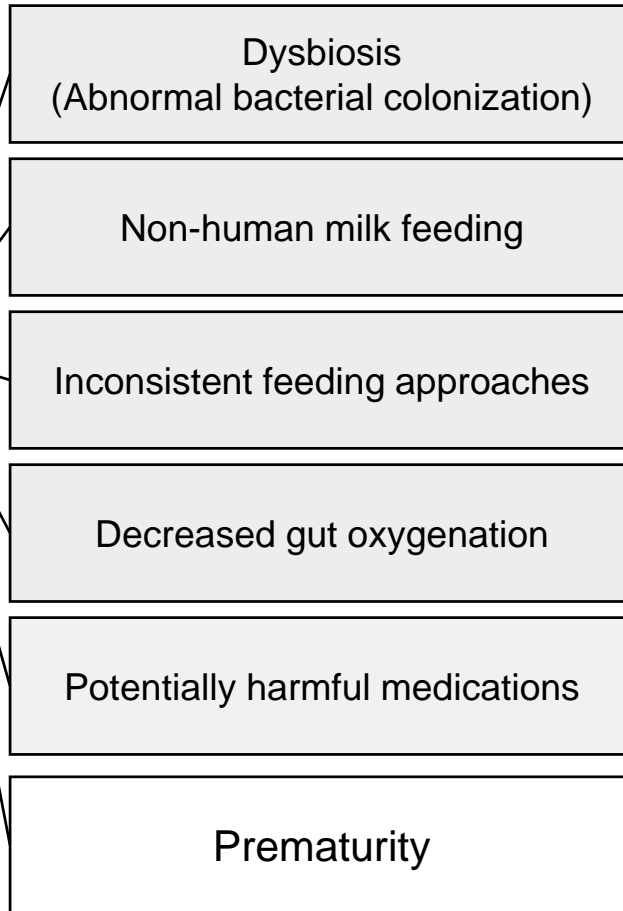


# Decreasing NEC

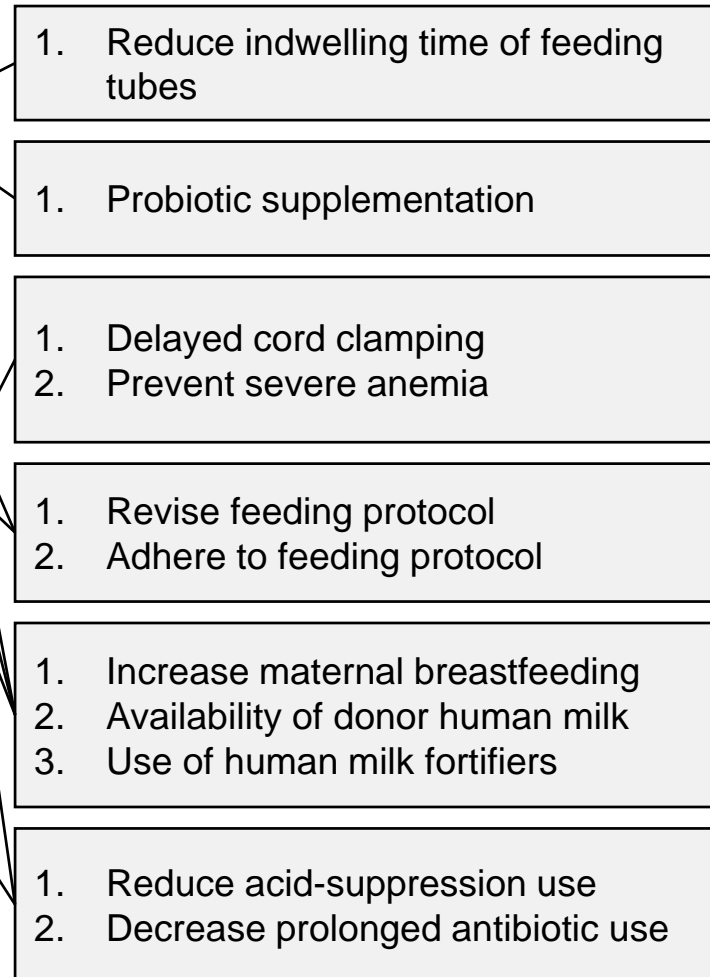
## Aim

Decrease NEC in VLBW infants from 15% to below 5% by 12/31/18

## Drivers



## Interventions



# Decreasing NEC

## Aim

Decrease NEC in VLBW infants from 15% to below 5% by 12/31/18

## Drivers

Dysbiosis  
(Abnormal bacterial colonization)

Non-human milk feeding

Inconsistent feeding approaches

Decreased gut oxygenation

Potentially harmful medications

Prematurity

## Interventions

1. Reduce indwelling time of feeding tubes

1. Probiotic supplementation

1. Delayed cord clamping  
2. Prevent severe anemia

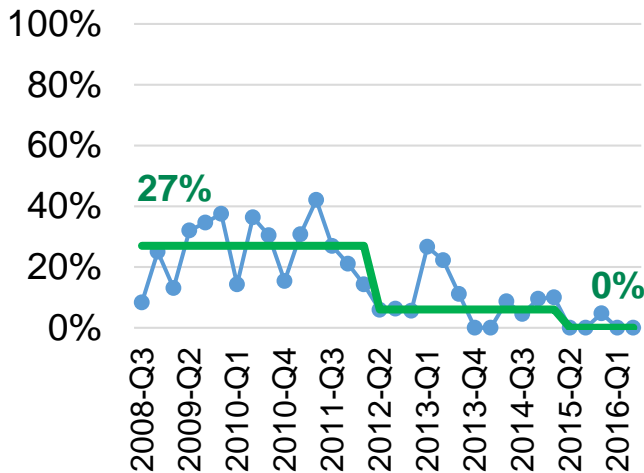
1. Revise feeding protocol  
2. Adhere to feeding protocol

1. Increase maternal breastfeeding  
2. Availability of donor human milk  
3. Use of human milk fortifiers

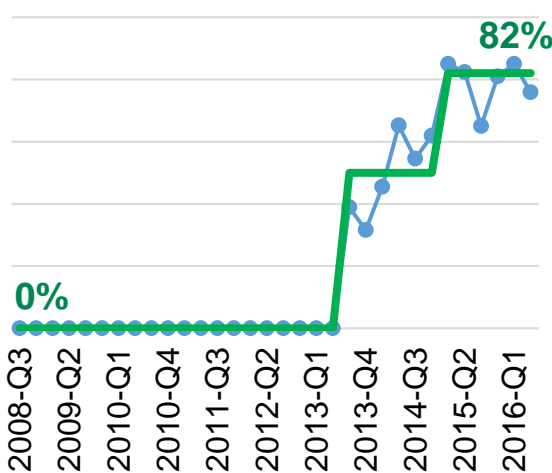
1. Reduce acid-suppression use  
2. Decrease prolonged antibiotic use

# Process measures (interventions)

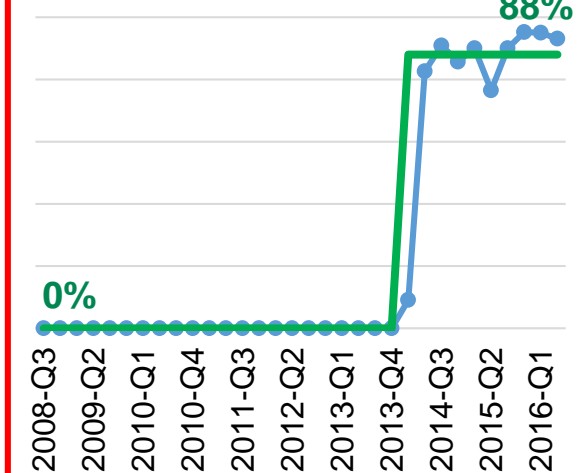
Acid suppression use ↓



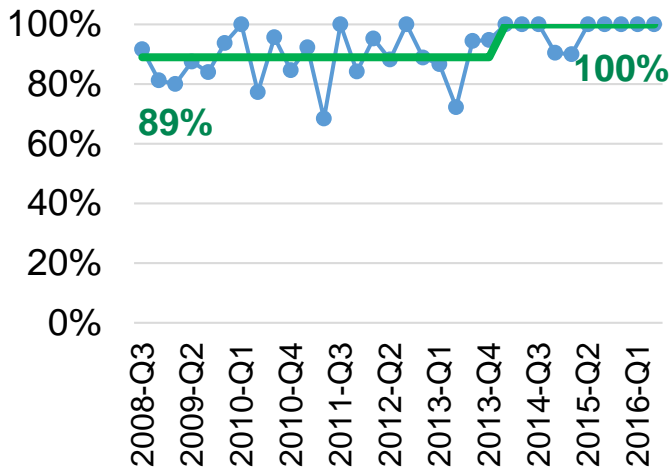
Delayed cord clamping ↑



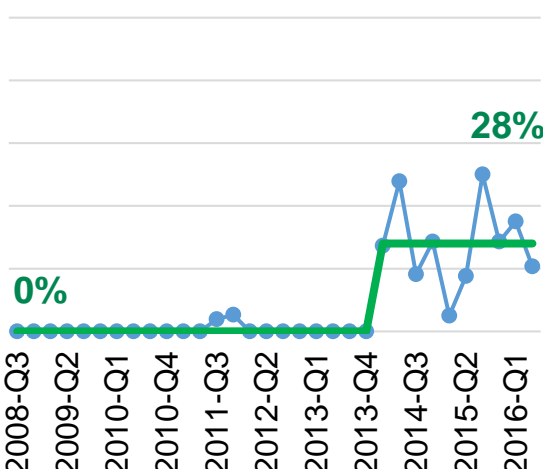
Probiotic use ↑



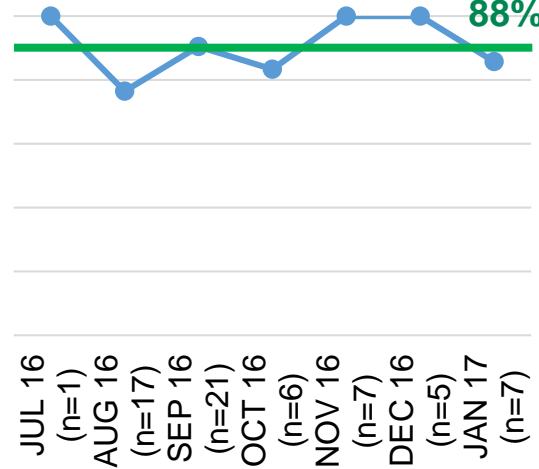
Any human milk ↑



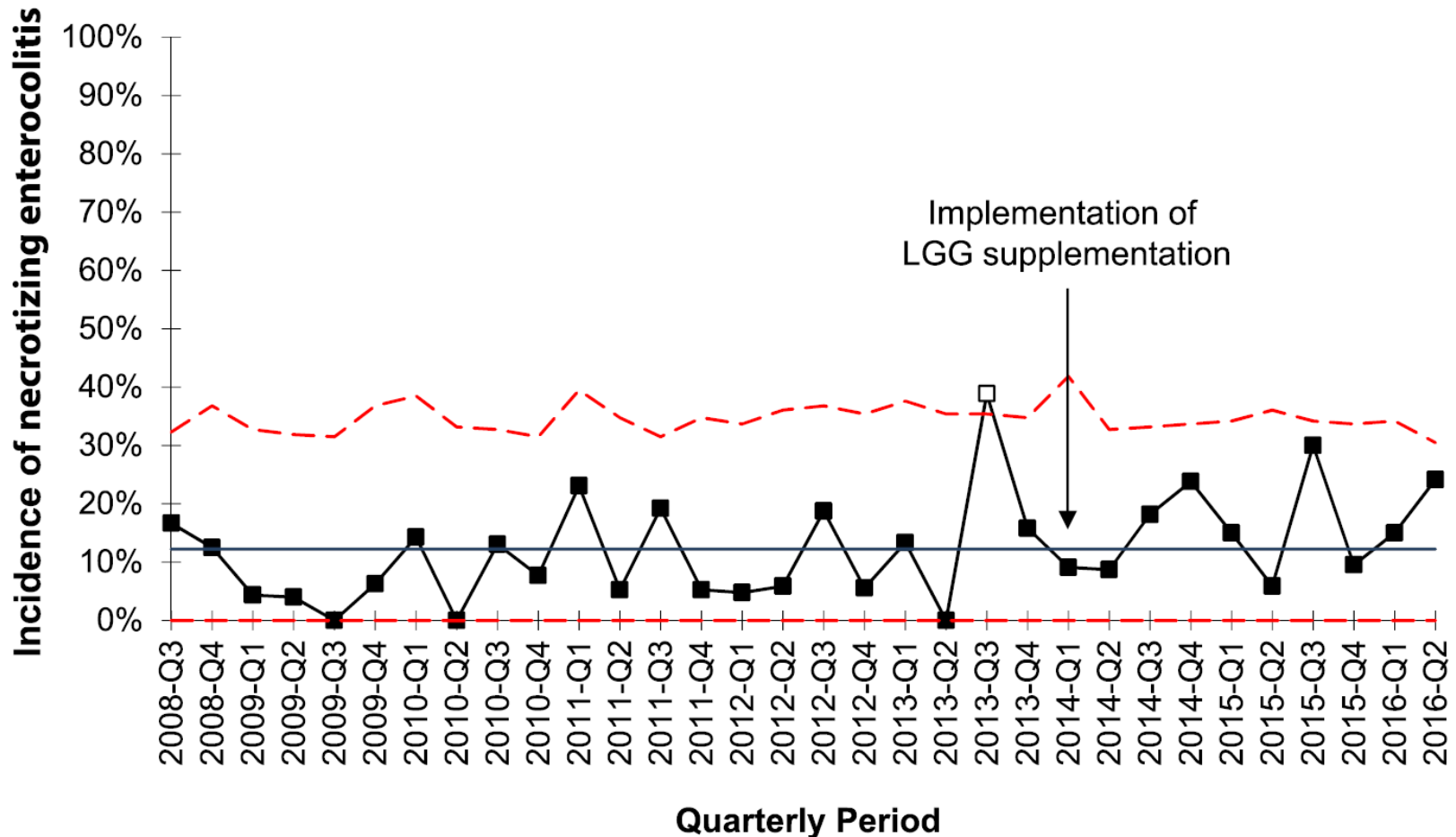
Donor milk ↑



Feeding protocol target ↑



# Comparison of NEC incidence before and after routine probiotic (LGG) supplementation



# Comparison of NEC incidence before and after routine probiotic (LGG) supplementation

Table IV. Infant characteristics and outcomes before and after implementation of LGG supplementation

Characteristics or outcomes	Pre-LGG implementation epoch, 2008-2014 (n = 443)	Post-LGG implementation epoch, 2014-2016 (n = 197)	P*
Gestational age, wk	28.7 (26.4-30.6)	28.3 (26.3-30.6)	.26
Birth weight, g	1080 (820-1300)	1000 (740-1270)	.10
Receipt of any initial antibiotics	366/443 (83%)	156/197 (79%)	.30
Receipt of prophylactic indomethacin	164/443 (37%)	97/197 (49%)	.004
Receipt of any human milk	387/438 (88%)	193/197 (98%)	<.001
Age at first feed	2 (1-3)	2 (1-3)	.86
Necrotizing enterocolitis stage IIA or greater	45/443 (10%)	33/197 (17%)	.02
Necrotizing enterocolitis stage IIIA or IIIB	20/443 (5%)	11/197 (6%)	.56
Death	17/443 (4%)	13/197 (7%)	.13
Necrotizing enterocolitis (Stage IIA or greater) or death	53/443 (12%)	41/197 (21%)	.004
Blood culture-positive sepsis	86/440 (20%)	47/196 (24%)	.20
LGG-associated sepsis	0 (0%)	0 (0%)	-

# Next steps

- We have continued to address other drivers of NEC, including reducing prolonged empiric antibiotic use.
- We changed to using BioGaia Protectis, a *Lactobacillus reuteri*-containing liquid preparation in 2018.
- Our experience highlights the uncertainty regarding the influence of population characteristics (e.g. antibiotic use) on probiotic effects and choice of specific products.



More information can be found on the NEC Society webpage at [www.NECsociety.org](http://www.NECsociety.org)

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