



# CASE DEPOSIT FOR INVESTMENT ONLY - RETIREMENT INNOVATOR ADVANTAGE

**Nationwide Retirement Plans** • 1-800-548-6436 • Fax: 1-877-NF401ks (1-877-634-0157)

## Section 1 GENERAL INFORMATION

**Case Number:** \_\_\_\_\_ - \_\_\_\_\_ **Case Name:** \_\_\_\_\_

**AMOUNT NOTE:** Total allocated on form must equal the deposit amount. Indicate Deposit Allocation below in order to invest money.

**INVESTMENT NOTE:** If no fixed contracts are chosen, 100% of monies will be deposited in Retirement Advantage contract.

**Method of Payment:**  Check #: \_\_\_\_\_ (this form must accompany check)  
 Wire  
 ACH

**Rollover:** Is this money being transferred/rolled over from a previous funding provider?  Yes  No

**Expense Credit:** Does an expense credit apply to this deposit?  Yes  No

If yes, indicate \$ \_\_\_\_\_ OR \_\_\_\_\_%

Contract/Program affected:  Variable  Indexed Fixed  Fixed  Short Term Indexed Fixed  Fixed Select

<b>Fixed Contracts:</b>	<b>Contract</b>	<b>Contract # (GA-P*)</b>	<b>Amount</b>
	Indexed Fixed	GAP-_____	\$ _____
	Fixed	GAP-_____	\$ _____
	Short Term Indexed Fixed	GAP-_____	\$ _____
	Fixed Select	GAP-_____	\$ _____

## Section 2 FUNDS

\$ Amount	FUND NAME	Fund Code	VRU Code	NW ClearCredit <sup>SM</sup>	Total Fund Prospectus Gross Expense Ratio
	AB Cor Oppr K	ADKA	1849	0.5	1.21
	AB Disc Gr K	AKKA	1855	0.5	1.09
	AB Disc Val K	ASSA	1856	0.5	1.24
	AB Gbl RealEstInvt K	AKRA	2216	0.5	1.43
	AB Gbl Rsk Alloc K	ACKA	1848	0.5	1.41
	AB Hi Inc A	AGDA	1007	0.5	0.86
	AB LgCap Gr K	AALA	1854	0.5	0.97
	AB Rel Val K	ALKA	1851	0.5	1.04
	AB SmCap Gr K	QUAA	2851	0.5	1.1
	Abrden Emrg Mkt A	BWTA	4370	0.5	1.59
	Abrden EmrgMktSstnblLdrs A	GIGA	251	0.5	1.49
	Abrden USSstnblLdrsSmCo A	GMLA	1704	0.5	2.13
	Alger Cap App Inst I	ALRA	1871	0.5	1.13
	Alger Spectra Inst	BURA	4319	0.5	1.39

AllnzGI Divd Val A	PNEA	2011	0	0.97
AllnzGI Focs Gr A	PCFA	293	0	0.95
AllnzGI Intl Val A	FJAA	2802	0	1.28
AllnzGI MdCap Val A	PRNA	646	0	1.05
AllnzGI Tech A	PRGA	1520	0	1.4
AmBcn Bal Adv	BXXA	2680	0.5	1.17
AmBcn Intl Eq Adv	ANLA	2551	0.5	1.2
AmBcn LgCap Val Adv	LCGA	2552	0.5	1.1
AmBcn SmCap Val Adv	SCVA	2553	0.5	1.26
AmCent Cor Pls A	AQQA	2751	0.5	0.81
AmCent Divrs Bd A	ADBA	582	0.5	0.85
AmCent Dscpld Cor Val A	ACIA	572	0.6	0.92
AmCent Eq Gr A	AEGA	714	0.6	0.92
AmCent Fdmntl Eq A	ADAA	2220	0.6	1.04
AmCent Focs Glbl A	ACTA	259	0.6	1.32
AmCent GNMA A	AGNA	1235	0.5	0.8
AmCent Hertg A	ACHA	583	0.6	1.26
AmCent Infl Adj Bd A	ABIA	707	0.5	0.72
AmCent Intl Gr A	TCGA	571	0.6	1.43
AmCent Lg Co Val A	ALCA	469	0.6	1.09
AmCent RealEst A	ACRA	718	0.6	1.41
AmCent Sel A	ACSA	705	0.6	1.24
AmCent Sm Co A	ACQA	711	0.6	1.12
AmCent Ultra A	ACAA	703	0.6	1.22
AmCent Val A	ACVA	720	0.6	1.25
AmFds Am Bal R3	ARLA	816	0.65	0.9
AmFds Am Hi Inc Tr R3	ARIA	368	0.65	1.01
AmFds Am Mut R3	ARMA	387	0.65	0.93
AmFds AMCAP R3	ARAA	292	0.65	0.99
AmFds Cap Inc Bldr R3	ARRA	484	0.65	0.93
AmFds Cap Wld Bd R3	ARCA	364	0.65	1.14
AmFds Cap Wld Gr Inc R3	ARWA	388	0.65	1.07
AmFds EuroPacfc Gr R3	AERA	506	0.65	1.12
AmFds Fdmntl Inv R3	ARFA	815	0.65	0.93
AmFds Gr Fd Am R3	ARGA	662	0.65	0.95
AmFds Inc Fd Am R3	ARDA	692	0.65	0.92
AmFds Invmt Co Am R3	AGVA	700	0.65	0.92
AmFds New Econ R3	AGCA	702	0.65	1.07
AmFds New Prspct R3	ARNA	706	0.65	1.07
AmFds New Wld R3	ANWA	708	0.65	1.26
AmFds Rtrmt Inc Cnsrv R3	EHYA	5778	0.65	0.96
AmFds Rtrmt Inc Enhcd R3	EIAA	5780	0.65	0.97
AmFds Rtrmt Inc Mod R3	EIDA	5782	0.65	0.96
AmFds SmCap Wld R3	ARSA	726	0.65	1.33

AmFds US Govt Sec R3	ARVA	753	0.65	0.91
AmFds Wshngtn Mut Inv R3	AWMA	767	0.65	0.92
BlkRk Advtg Glbl Inv A	MDGA	1053	0.5	1.46
BlkRk Advtg SmCap Gr A	BSCA	362	0.5	1.02
BlkRk Advtg SMIDCap Inv A	MSXA	1065	0.5	0.93
BlkRk Asian Drgn Inv A	MDCA	1063	0.5	1.4
BlkRk Emrg Mkt Inv A	MCXA	1299	0.5	1.38
BlkRk Enrgy Oppr Inv A	BACA	2852	0.5	1.85
BlkRk Eq Divd Inv A	MDVA	1048	0.5	0.96
BlkRk Eurofd Inv A	MDFA	1049	0.5	1.4
BlkRk Glbl Alloc Inv A	MDLA	1052	0.5	1.15
BlkRk Hlth Scnc Oppr Inv A	BSAA	1795	0.5	1.11
BlkRk Intl Inv A	BQTA	4242	0.5	1.15
BlkRk MdCap Divd Inv A	MDRA	1061	0.5	1.29
BlkRk MdCap Gr Eq Inv A	BMCA	361	0.5	1.14
BlkRk Natrl Rsrc Tr Inv A	MRFA	1062	0.5	1.26
BlkRk Tctcl Oppr Inv A	BPCA	1793	0.5	1.16
BNYMel App Inv	DAFA	217	0.5	0.89
BNYMel Glbl RealEst Sec A	DXLA	2753	0.5	2.44
BNYMel Intl Bd A	DXIA	2754	0.5	1.66
BNYMel Intl Cor Eq A	DIAA	2089	0.55	1.64
BNYMel Natrl Rsrc A	DNAA	1887	0.5	1.26
BNYMel Rsrch Gr A	BTKA	4291	0.5	1.13
BNYMel Strat Val A	DAVA	722	0.55	0.97
BNYMel Strcd MdCap A	DPAA	1523	0.55	1.55
BNYMel Tech Gr A	DPTA	316	0.55	1.2
BNYMel WW Gr A	DXPA	1891	0.5	1.14
Calamos Glbl Eq A	CAGA	2855	0.5	1.65
Calamos Glbl Gr Inc A	CLOA	2857	0.5	1.53
Calamos Gr Inc A	CVTA	2859	0.5	1.08
Calamos Intl Gr A	IGRA	2863	0.5	1.52
Calamos Mkt Nutrl Inc A	CIVA	2865	0.5	1.23
Cariln Eagle Gr Inc A	HRCA	2582	0.5	0.97
Cariln Eagle SmCap Gr A	HSSA	807	0.5	1.08
Clvrt Eq A	CAEA	264	0.5	0.94
Col Bal A	CBLA	2890	0.5	0.95
Col Contra Cor A	LCCA	2893	0.5	1.02
Col Divd Oppr A	AIUA	2110	0.5	1.05
Col Emrg Mkt Bd A	BEPA	3974	0.5	1.1
Col Hi Yld Bd A	AEXA	130	0.5	1.04
Col Lg Cor Quant A	AQEA	1725	0.5	0.98
Col LgCap Gr A	CLEA	1869	0.5	1.03
Col Seligman Glbl Tech A	SHGA	2712	0.5	1.31
Col Strat Inc A	HBMA	2916	0.5	0.93

DE Divrs Inc A	DPDA	1242	0.5	0.87
DE Emrg Mkt A	DDEA	1243	0.5	1.63
DE Intl Val Eq A	DGXA	1247	0.5	1.33
DE REIT A	DPEA	1402	0.5	1.53
DE Sel Gr A	DADA	1606	0.5	1.24
DE SmCap Val A	DAEA	1607	0.5	1.14
DE Smid Cap Gr A	DFCA	1245	0.5	1.1
DE Val A	DAIA	1609	0.5	0.93
Diamond Hill LgCap Inv	DHLA	1481	0.5	0.96
Diamond Hill SmCap Inv	DHSA	1482	0.5	1.26
DWS Altrnatv AsstAlloc A	SXAA	2175	0.5	1.36
DWS Comm A	PTIA	1068	0.5	1.69
DWS Enhcd Comdty Strat A	CBIA	4478	0.5	1.41
DWS Gbl Inc Bldr A	PKTA	1072	0.5	0.9
DWS Gbl SmCap A	PKGA	1069	0.5	1.49
DWS Hi Inc A	SKHA	1265	0.5	0.95
DWS Ltn Am Eq A	PSLA	1071	0.5	1.69
DWS RealEst Sec A	SRRA	1267	0.5	0.98
DWS RREEF GblRelEsSec A	SRGA	2017	0.5	1.29
EV Flotng Rt A	EVBA	1248	0.5	1.07
EV Gbl Macro Abs Rtn A	EGAA	2756	0.5	1.05
EV Inc Fd of Boston A	EVIA	1019	0.5	1.03
EV LgCap Val A	EHSA	1020	0.5	1.04
EV WW Hlth Scnc A	ETHA	1021	0.5	1.27
Fed Hrms Clvr Sm Val A	VFAA	2775	0.5	1.48
Fed Hrms Eq Inc F	FEQA	744	0.5	1.4
Fed Hrms Intl Ldrs A	FGFA	1357	0.5	1.39
Fed Hrms Intl SmMd Co A	FISA	748	0.5	1.7
Fed Hrms MDT SmCap Cor A	FQCA	2181	0.5	1.45
Fed Hrms OpprHiYldBd Svc	FHYA	237	0.5	1.1
Fed Hrms Prudnt Bear A	BEAA	2686	0	3.04
FidAdv Canada A	FCDA	2388	0.5	1.2
FidAdv Enrgy A	FNAA	2724	0.5	1.14
FidAdv Eq Inc A	FEIA	282	0.5	0.92
FidAdv Flotng Rt Hi Inc A	FFRA	2776	0.5	0.99
FidAdv Gr Inc A	FGIA	756	0.5	0.96
FidAdv Gr Oppr A	FGOA	281	0.45	1.06
FidAdv Intl Cap App A	FOXA	922	0.5	1.28
FidAdv Invmt Grd Bd A	FGBA	664	0.5	0.76
FidAdv Jpn A	FXJA	2876	0.5	1.37
FidAdv Levr Co Stk A	FLSA	1309	0.5	1.08
FidAdv LgCap A	FLCA	757	0.5	0.75
FidAdv New Insghts A	FNIA	1308	0.5	1.11
FidAdv RealEst A	FREA	665	0.5	1.1

FidAdv SmCap A	FAPA	552	0.5	1.23
FidAdv StkSel MdCap A	FMCA	758	0.45	1.14
FidAdv Strat Divd Inc A	BVJA	4335	0.5	0.99
FidAdv Strat Inc A	FSFA	666	0.5	0.97
FidAdv Tech A	BVLA	4337	0.5	1.01
FidAdv Ttl Bd A	BVNA	4339	0.5	0.75
Fnkln SmCap Gr A	FSGA	492	0.5	1.08
Fnkln SmMdCap Gr A	TSGA	958	0.5	0.89
Fnkln Strat Inc A	FSIA	493	0.5	0.9
Fnkln Ttl Rtn A	CVOA	4945	0.5	0.95
FnklnMSF Mut Euro A	TMEA	979	0.5	1.29
FnklnMSF Mut Glbl Disc A	TMDA	978	0.5	1.26
FnklnTmp Forgn A	TFFA	550	0.5	1.19
FnklnTmp Glbl Bd A	CAVA	4467	0.6	0.99
FnklnTmp Glbl Ttl Rtn A	CDWA	4538	0.6	1.12
GdmnScs Comdty Strat A	CBHA	4477	0.5	1.23
GdmnScs Cor Fxd Inc A	GCFA	764	0.5	0.84
GdmnScs Govt Inc A	GGVA	771	0.5	1.1
GdmnScs GQGPtnrIntlOppr A	GHDA	6856	0.4	1.2
GdmnScs Gr Oppr A	GSGA	278	0.5	1.39
GdmnScs Infl PrtctSec A	GAOA	6715	0.4	0.74
GdmnScs LgCap Gr Insghts A	GCLA	766	0.5	0.95
GdmnScs LgCapVal Insghts A	GCVA	277	0.5	1.04
GdmnScs MdCap Val A	GMCA	775	0.5	1.22
GdmnScs RealEst Sec A	GREA	1573	0.5	1.56
GdmnScs Satellite Strat A	UABA	2882	0.5	1.51
GdmnScs SmCap Eq Insghts A	GCCA	153	0.5	1.29
GdmnScs SmCap Val A	GSCA	777	0.5	1.36
Hartford Cap App R4	ITHA	2468	0.4	1.1
Hartford Intl Oppr R4	CEMA	4552	0.4	1.11
Hartford Sm Co R4	IHSA	2417	0.4	1.3
Hartford SmCap Gr R4	CUCA	4910	0.4	1.19
Heartland Val Inv	HSCA	161	0.5	1.1
Heartland Val Pls Inv	HRVA	1314	0.5	1.19
Invsco Am Frnchs A	HBIA	2912	0.5	1
Invsco Am Val A	VMCA	1917	0.5	1.15
Invsco Bal Rsk Alloc A	BZXA	4450	0.5	1.39
Invsco Charter A	AHTA	1000	0.5	1.07
Invsco Comstk A	VCAA	910	0.5	0.84
Invsco Divd Inc A	HBHA	2911	0.5	0.97
Invsco Eq Inc A	VKEA	304	0.5	0.8
Invsco Euro Gr A	AEDA	1001	0.5	1.38
Invsco Glbl A	PGZA	435	0.5	1.06
Invsco Glbl Oppr A	PGGA	849	0.5	1.1

	Invsco Gbl RealEst A	AEEA	2000	0.5	1.27
	Invsco GblStrat Inc A	PSIA	862	0.5	0.97
	Invsco GoldSpecMnrls A	PGMA	374	0.5	1.2
	Invsco Gr Inc A	VKWA	306	0.5	0.81
	Invsco Hlth Care A	AGHA	1003	0.5	1.07
	Invsco Intl Bd A	PIBA	852	0.5	1.05
	Invsco Intl Gr A	AIEA	101	0.5	1.35
	Invsco Low Vltlty Eq Yld A	HBCA	2906	0.5	1.18
	Invsco Mn St A	PMAA	856	0.5	0.83
	Invsco Mn St MdCap A	PSSA	377	0.5	1.12
	Invsco Opp Intl Gr A	PIGA	853	0.5	1.13
	Invsco RealEst A	AREA	576	0.5	1.23
	Invsco SmCap Eq A	AMEA	1234	0.5	1.31
	Invsco StlpthMLPAlph A	CLQA	4709	0.5	2.62
	Invsco StlpthMLPSI40 A	CLWA	4713	0.5	1.25
	Invsco Tech A	HBGA	2910	0.5	1.19
	Invsco Cor Bd A	PBZA	840	0.5	0.82
	InvscoStlpMLPAlphPls A	CWAA	4954	0.5	3.33
	Ivy Asst Strat Y	WSFA	679	0.5	1.11
	Ivy Bal Y	IBNA	1315	0.5	1.11
	Ivy Cor Eq Y	WCEA	680	0.5	1.08
	Ivy Emrg Mkt Eq Y	WIPA	1143	0.5	1.37
	Ivy Enrgy Y	IEYA	1770	0.5	1.42
	Ivy Gbl Bd Y	WVYA	2390	0.5	1.23
	Ivy Gbl Gr Y	WIIA	1141	0.5	1.38
	Ivy Hi Inc Y	WHIA	681	0.5	0.98
	Ivy Intl Cor Eq Y	WVVA	1142	0.5	1.23
	Ivy LgCap Gr Y	WLCA	683	0.5	1.05
	Ivy MdCap Gr Y	WMCA	685	0.5	1.24
	Ivy Mgd Intl Oppr Y	WVTA	2101	0.5	1.63
	Ivy Scnc Tech Y	WSTA	661	0.5	1.22
	Ivy Scrn Cor Bd Y	WIBA	2098	0.5	0.98
	Ivy Scrn RealEst Sec Y	IRSA	1188	0.5	1.39
	Ivy SmCap Cor Y	IYSA	1189	0.5	1.35
	Ivy SmCap Gr Y	WSCA	686	0.5	1.28
	JnsHndrsn Bal S	YJAA	2663	0.5	1.07
	JnsHndrsn Flex Bd S	YJDA	2665	0.5	0.95
	JnsHndrsn Frty S	JACA	984	0.5	1.17
	JnsHndrsn MdCap Val S	YJVA	2675	0.5	1.25
	JnsHndrsn US Mgd Vltlty S	CXRA	4995	0.5	1.05
	JPM Cor Bd R3	DUKA	5454	0.5	0.85
	JPM Cor Pls Bd R3	DULA	5455	0.5	0.92
	JPM Eq Inc R3	DUMA	5456	0.5	0.98
	JPM LgCap Gr R3	DUPA	5459	0.5	1.03

JPM MdCap Val R3	DURA	5461	0.5	1.24
JPM SmCap Eq R3	DUTA	5462	0.5	1.25
JPM SmCap Val R3	DUUA	5463	0.5	1.29
JPM SMID Cap Eq R3	DUOA	5458	0.5	1.19
JPM Val Advtg R3	DVGA	5474	0.5	1.17
Lazard Intl Eq Sel Open	LESA	503	0.5	1.74
Lazard Intl SmCap Eq Open	LISA	826	0.5	1.43
Lazard Intl Strat Eq Open	LIOA	1954	0.5	1.06
Lazard US Eq Sel Open	LZUA	1944	0.5	2.14
LeggM BW Gbl Oppr Bd A	BZZA	4452	0.5	1
LeggM ClrBrdg Aggr Gr A	SBGA	397	0.5	1.13
LeggM ClrBrdg App A	SMBA	399	0.5	0.95
LeggM ClrBrdg Divd Strat A	SPTA	421	0.5	1.05
LeggM ClrBrdg IntlSmCap A	COAA	4764	0.5	1.79
LeggM ClrBrdg MdCap A	SYCA	419	0.5	1.18
LeggM ClrBrdg SmCap Gr A	SCGA	111	0.5	1.17
LeggM ClrBrdgInvLgCapVal A	SLIA	297	0.5	0.82
LeggM WstrAs Cor Bd A	CBCA	4472	0.5	0.83
LeggM WstrAs Inc A	SDSA	1994	0.5	0.97
LeggM WstrAs InfnndPlsBd A	GAUA	6718	0.5	0.71
LeggM WstrAs MrtgTtlRtn A	SGVA	2158	0.5	0.95
Loomis Bd Admn	LBFA	1122	0.6	1.17
LrdAbt Hi Yld R4	DJAA	5218	0.5	0.95
LrdAbt Ttl Rtn R4	DJHA	5225	0.5	0.71
MFS Cor Eq A	MARGA	637	0.5	0.98
MFS Emrg Mkt Db A	BEUA	3978	0.5	1.09
MFS Gr A	MFEA	2470	0.5	0.87
MFS Intl Divrs A	MXDA	1904	0.5	1.12
MFS MdCap Gr R3	FHUA	6320	0.5	1.05
MFS Rsrch Intl A	MRAA	1532	0.5	1.07
MFS Ttl Rtn A	MTRA	638	0.5	0.73
MFS Val A	MMVA	439	0.5	0.83
MnSty Epch Intl Choice R2	MIYA	2231	0.5	1.3
MnSty Epoch US Eq Yld R2	DVRA	5485	0.5	1.19
MnSty Wnslow LgCap Gr R2	MLTA	2233	0.5	1.07
Natixis Gateway A	GATA	1119	0.55	1.01
NeuBer AbsRtn MultMgr A	CILA	4640	0.5	3.29
NeuBer Eq Inc A	NXEA	2757	0.5	1.06
NeuBer Focs A	NFAA	2816	0.5	1.13
NeuBer Guardian A	NGAA	2733	0.5	1.08
NeuBer Hi Inc A	NHIA	2744	0.5	1.13
NeuBer Intl Sel A	NXLA	2759	0.5	1.31
NeuBer MdCap Gr A	NXGA	2760	0.5	1.07
NeuBer RealEst A	NREA	2819	0.5	1.41

	NeuBer Sustnbl Eq A	NXRA	2763	0.5	1.05
	NrthSqr OakRidge SmCapGr A	PORA	1325	0.5	2.07
	Nuvn Divd Val A	FQIA	2584	0.5	1.09
	Nuvn MdCap Gr Oppr A	FRSA	2489	0.5	1.24
	Nuvn Strat Inc A	FDDA	2493	0.5	0.97
	NW AllianzGI Intl Gr A	FIBA	6324	0.5	1.17
	NW AmCent SmCap Inc A	NUAA	2197	0.65	1.33
	NW Amundi Glbl Hi Yld A	DFQA	5164	0.5	1.36
	NW Amundi Strat Inc A	DFWA	5166	0.5	1.23
	NW Bailard Cogn Val A	CGTA	4600	0.5	1.52
	NW Bailard Int Eq A	CGWA	4603	0.5	1.28
	NW Bailard Tech Scnc A	CGZA	4606	0.5	1.22
	NW Bd A	NBDA	829	0.5	0.79
	NW Bd Indx A	NBIA	969	0.5	0.69
	NW Dest 2025 A	NWDA	2050	0.5	0.9
	NW Dest 2030 A	NWEA	2051	0.5	0.89
	NW Dest 2035 A	NWGA	2052	0.5	0.91
	NW Dest 2040 A	NWHA	2053	0.5	0.9
	NW Dest 2045 A	NWIA	2054	0.5	0.9
	NW Dest 2050 A	NWJA	2055	0.5	0.9
	NW Dest 2055 A	VBZA	2883	0.5	0.9
	NW Dest 2060 A	CVXA	4951	0.5	0.9
	NW Dest 2065 A	FYNA	6665	0	0.91
	NW Dest Rtrmt A	NWBA	2048	0.5	0.89
	NW DmndHll LgCap Cnctrd A	CHMA	4619	0.5	1.89
	NW Geneva MdCap Gr A	CHCA	4609	0.5	1.1
	NW Geneva SmCap Gr A	CHEA	4611	0.5	1.2
	NW Infl Prtct Sec A	EHQA	5771	0.5	0.73
	NW Intl Indx A	NIIA	966	0.5	0.75
	NW Intl SmCap A	DTNA	5432	0.5	1.54
	NW Inv Dest Aggr A	BYKA	4411	0.5	0.87
	NW Inv Dest Cnsrv A	BYLA	4412	0.5	0.82
	NW Inv Dest Mod A	BYMA	4413	0.5	0.85
	NW Inv Dest Mod Aggr A	BYNA	4414	0.5	0.88
	NW Inv Dest Mod Cnsrv A	BYOA	4415	0.5	0.83
	NW Loomis Cor Bd A	CHJA	4616	0.5	0.82
	NW MdCap Mkt Indx A	NMCA	968	0.5	0.69
	NW Mel Dscpld Val A	FYQA	6668	0	1.23
	NW Mel Dynmc US Cor A	NGFA	831	0.5	0.87
	NW NYSE ArcTch100Indx A	CIIA	4637	0.5	0.67
	NW S P 500 Indx Svc	NIFA	356	0.5	0.6
	NW SmCap Indx A	NSCA	967	0.5	0.74
	NW WCM Focs SmCap A	CHWA	4628	0.5	1.45
	Okmrk Eq Inc II	KOEA	669	0.55	1.01



	Okmrk Fd II	KOFA	670	0.55	1.1
	Okmrk Glbl II	KOGA	671	0.55	1.34
	Okmrk Intl II	KOIA	672	0.55	1.22
	Okmrk Intl SmCap II	KOXA	2200	0.55	1.61
	Okmrk Sel II	KORA	1575	0.55	1.22
	Parnassus Cor Eq Inv	IRBA	2868	0.5	0.86
	Parnassus Endvr	PWXA	2873	0.5	0.97
	Parnassus MdCap	IRMA	2871	0.5	1.01
	Parnassus MdCap Gr	PNXA	2870	0.5	0.84
	PGIM Glbl RealEst R2	ERWA	6011	0.5	6.67
	PGIM Hi Yld R2	ERZA	6014	0.5	1.12
	PGIM Jnism Gr R2	ESHA	6019	0.5	1.45
	PGIM Jnism MdCap Gr R2	ESKA	6021	0.5	3.7
	PGIM Jnism Sm Co R2	ESMA	6023	0.5	1.39
	PGIM QMA SmCap Val R2	ESQA	6026	0.5	6.27
	PGIM Ttl Rtn Bd R2	ESVA	6030	0.5	0.96
	Pionr Bal ESG A	PAOA	1838	0.65	1.04
	Pionr Bd A	PIXA	1902	0.65	0.82
	Pionr Eq Inc A	PIEA	649	0.65	1.06
	Pionr Fdmntl Gr A	PGFA	2658	0.65	1.07
	Pionr Sel MdCap Gr A	PGOA	1456	0.65	1.01
	Pionr Strat Inc A	PNSA	382	0.65	1.06
	Prncpl Cap App A	BQZA	4248	0.5	0.83
	Prncpl Eq Inc A	WCMA	1372	0.5	0.88
	Prncpl Hi Yld A	WCPA	1373	0.5	0.92
	Prncpl SpctmPfrdCpSecInc A	WPAA	1971	0.5	1.05
	Ptnm Conv Sec A	PCOA	2824	0.5	1.04
	Ptnm Glbl Eq A	KEQA	991	0.5	1.13
	Ptnm Hi Yld A	DRCA	5385	0.5	1.04
	Ptnm Inc A	PNCA	2826	0.5	0.85
	Ptnm Intl Cap Oppr A	KIVA	994	0.5	1.54
	Ptnm LgCap Val A	KEIA	990	0.5	0.9
	Ptnm MultCapCor A	ERGA	5995	0.5	1
	Ptnm SmCap Gr A	KSGA	660	0.5	1.24
	Rtnl Dynmc Brnds A	HSUA	2593	0.5	1.75
	Rtnl Eq Armor A	HDCA	2814	0.5	2.02
	Tchstn Bal A	SEBA	1743	0.4	1.08
	Tchstn LgCap Focs A	SENA	1741	0.4	1.11
	Tchstn Sm Co A	SAWA	1740	0.4	1.25
	Thrnbrg Intl Val R4	THRA	1978	0.5	1.32
	Thrnbrg SmMdCap Gr R4	TCRA	1977	0.5	2
	TRowePr Blue Chip Gr R	TRRA	1081	0.65	1.22
	TRowePr Eq Inc R	TRFA	1082	0.65	1.23
	TRowePr Gr Stk R	TRGA	1083	0.65	1.18

TRowePr Intl Stk R	TRTA	1984	0.65	1.45
TRowePr Intl Val Eq R	TXRA	1983	0.65	1.35
TRowePr US Eq Rsrch R	TRCA	1487	0.65	1.13
VanEck Emrg Mkt A	VGFA	2185	0.5	1.53
VanEck Gbl Hrd Asst A	VGHA	1094	0.5	1.6
VanEck Intl Inv Gold A	VINA	1095	0.5	1.49
Vic Divrs Stk A	KVDA	287	0.5	1.09
Vic Integrity SmCap Val A	MNVA	2107	0.5	1.48
Vic Mndr MdCap Cor Gr A	MGOA	2106	0.5	1.29
Vic Triv Intl SmCap A	BYHA	4408	0.5	1.64
Virtus Crdex LgCpValEq I	SVTA	1080	0.4	1.04
Virtus Crdex MdCpValEq I	SMTA	1107	0.4	1.04
Virtus Seix Hi Inc A	SAHA	2405	0.5	1.13
WF Cmn Stk A	SCCA	319	0.5	1.27
WF Cor Bd A	WMBA	1100	0.5	0.82
WF Dscpld US Cor A	ESSA	954	0.5	0.86
WF Entrp A	WSNA	1929	0.5	1.24
WF Fndmtl SmCap Gr A	EGFA	487	0.5	1.53
WF Govt Sec A	GVAA	2789	0.5	0.91
WF Hi Yld Bd A	DMDA	5289	0.5	1.04
WF Intl Bd A	ESIA	2381	0.5	1.5
WF Intl Val A	WFFA	1933	0.5	1.37
WF Lg Co Val A	BQYA	4247	0.5	0.99
WF LgCap Cor A	EOAA	2790	0.5	1.22
WF LgCap Gr A	BWUA	4371	0.5	1.18
WF Omega Gr A	EOFA	953	0.5	1.28
WF Oppr A	WSOA	1935	0.5	1.21
WF Prec Mtls A	EKWA	2383	0.5	1.2
WF Prmr Lg Co Gr A	EKJA	2792	0.5	1.14
WF Spec MdCap Val A	DCMA	5099	0.5	1.14
WF Util Telcom A	EVUA	2386	0.5	1.17
WImBlr Gbl Gr N	WGGA	2787	0.5	1.35
WImBlr Gr N	WBGA	2782	0.5	1.24
WImBlr MdCap Gr N	WNGA	2784	0.5	1.49
WImBlr Sm Md Gr N	WNXA	2783	0.5	1.43
WImBlr SmCap Val N	WVXA	2785	0.5	1.4

\$ Amount	Additional Fund Name	Nationwide Fund Code	VRU Code

### **Mutual Fund Payments**

Nationwide Financial Services, Inc.'s life insurance and trust company subsidiaries (collectively referred to as the "Nationwide companies") offer a variety of retirement products exclusively to retirement plans through the Nationwide Group Retirement Series, which include: i) mutual fund platforms offered by Nationwide Trust Company, FSB, and ii) unregistered group variable annuity contracts issued by Nationwide Life Insurance Company. (The group annuity contracts and the mutual fund platforms are referred to collectively as "retirement products" and include Nationwide Retirement Resource, Nationwide Retirement Innovator, Nationwide Innovator Advantage, Nationwide Clear Advantage, Nationwide Retirement Flexible Advantage, Nationwide Retirement Advisor, and Nationwide Retirement Manager).

The variable accounts, trust accounts, or custodial accounts (the "Accounts") that accompany the retirement products offer a variety of investment options, and purchase and sell shares of certain mutual funds in the aggregate each day so that the performance of the investment options corresponds to the performance of those mutual funds. When the Accounts aggregate these transactions, the mutual fund does not incur the expense of processing individual transactions that it would incur if it sold its shares to the public directly. This expense is instead incurred by the Nationwide companies.

The Nationwide companies also incur the distribution costs of selling the retirement products, which benefits the mutual funds by providing contract owners and participants with investment options that correspond to the underlying mutual funds.

An investment adviser or subadviser of a mutual fund or its affiliates may provide the Nationwide companies with wholesaling services that assist in the distribution of the retirement products and may pay to participate in educational and/or marketing activities. These activities may provide the adviser or subadviser (or their affiliates) with increased exposure to persons involved in the distribution of the retirement products.

### **Types of Payments the Nationwide Companies Receive**

In light of the above, certain mutual funds or their affiliates make payments to the Nationwide companies (the "payments"). The amount of these payments is typically based on an agreed-upon percentage of assets times the amount of the assets that the Accounts invest in the mutual funds, but in some cases may involve a per participant fee or a combination of asset-based fee and per participant fee. These payments may be used for any corporate purpose, which includes reducing the price of the retirement products, paying expenses that the Nationwide companies incur in promoting, marketing, and administering the retirement products, and achieving a profit.

The Nationwide companies receive the following types of payments:

- Mutual fund 12b-1 fees, which are deducted from mutual fund assets;
- Sub-transfer agent fees or fees pursuant to administrative service plans adopted by the mutual fund, which may be deducted from mutual fund assets; and
- Payments by a mutual fund's adviser or subadviser (or its affiliates). Such payments may be derived, in whole or in part, from the advisory fee that is deducted from mutual fund assets and reflected in the mutual fund charges.

Furthermore, the Nationwide companies benefit from assets invested in Nationwide's affiliated mutual funds (i.e., Nationwide Mutual Funds) because their affiliates also receive compensation from the mutual funds for investment advisory, administrative, transfer agency, distribution, and/or other services. Thus, the Nationwide companies may receive more revenue with respect to affiliated mutual funds than unaffiliated mutual funds.

The Nationwide companies took these anticipated payments into consideration in determining the charges they impose under the retirement products (apart from fees and expenses imposed by the mutual funds). Without these payments, the Nationwide companies would have imposed higher charges on their retirement products.

### **Amount of Payments the Nationwide Companies Receive**

Most mutual funds or their affiliates have agreed to make payments to the Nationwide companies, although the applicable percentages may vary from mutual fund to mutual fund and some may not make any payments at all. Because the amount of the actual payments the Nationwide companies receive generally depends on the amount of assets invested by the Accounts in the mutual funds, the Nationwide companies may receive higher payments from mutual funds with lower percentages (but greater assets) than from mutual funds that have higher percentages (but fewer assets).

The following paragraphs describe the range of payments that the Nationwide companies received from the mutual funds and their affiliates (as a percentage of the average daily net assets of the mutual fund attributable to the retirement products) for the year ended December 31, 2009.

### **RETIREMENT INNOVATOR ADVANTAGE**

The payments to the Nationwide companies ranged from 0.50 % to 0.70% of assets.

The above ranges do not include any amounts the Nationwide companies may receive from investment advisers or subadvisers of the mutual funds or their affiliates to participate in educational and/or marketing activities.

### **Identification of Mutual Funds for Our Retirement Product**

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This is page \_\_\_\_ of \_\_\_\_.

To facilitate processing of your deposit, please indicate how many pages are included in this request. The first page and the signature page must always be submitted to complete the request.

The Nationwide companies identify a menu of potential mutual funds that correspond to the investment options for their retirement products. They may consider several criteria when identifying those mutual funds, including some or all of the following: investment objectives, investment process, investment performance, risk characteristics, investment capabilities, experience and resources, investment consistency, and fund expenses. Another factor the Nationwide companies consider during this process is whether the mutual fund's adviser or subadviser is one of their affiliates or whether the mutual fund, its adviser, its subadviser(s), or an affiliate will make payments such as those described above. The identification criteria vary by line of business and retirement product. In some cases, the Nationwide companies identify mutual funds based on requests and recommendations made by retirement plan sponsors and/or their advisors.

There may be mutual funds with lower fees, as well as other retirement products that offer mutual funds with lower fees. You should consider all of the fees and charges of a retirement product in relation to the features and benefits of that product when making your decision to invest. Please note that product and mutual fund fees and charges have a direct effect on the investment performance of your retirement product. A fund prospectus contains the investment objectives, risks, charges and expenses. Please read the fund prospectus carefully before investing.

### Compensation to Distributors and Other Entities

Nationwide has arrangements with various distributors and other entities in connection with the marketing and sale of the Funding Vehicle(s) offered through this Agreement. Nationwide may pay these distributors and other entities compensation in the form of an asset-based sales commission and a separate marketing allowance or related payment.

The amount of any sales commission payable by Nationwide to the Plan's sales representative is subject to negotiation between the Sponsor and the sales representative. In addition to the sales commissions and other compensation described above, Nationwide may pay additional compensation based on various agreed-upon production goals and persistency payments to administrative firms. The cost of sales commissions, or any other compensation paid by Nationwide, is directly or indirectly reflected in the fees charged by Nationwide for your plan.

## Section 4 SIGNATURES

\_\_\_\_\_  
Sponsor Signature or Plan Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Sponsor Name

If the current Sponsor is not the individual who signed the original Program and/or Service Agreement, please provide written evidence that he/she is Sponsor. This may include a signed Board of Director's Resolution or the signature page from the plan document. If you plan to use a fund other than the money market for a retainer/forfeiture account, call your account manager for guidelines.

By signing below, I am confirming that the individual that signed the above is authorized as Plan Trustee or Plan Sponsor to make this request.

\_\_\_\_\_  
Authorized Representative Signature (PPA/TPA)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Authorized Representative Name