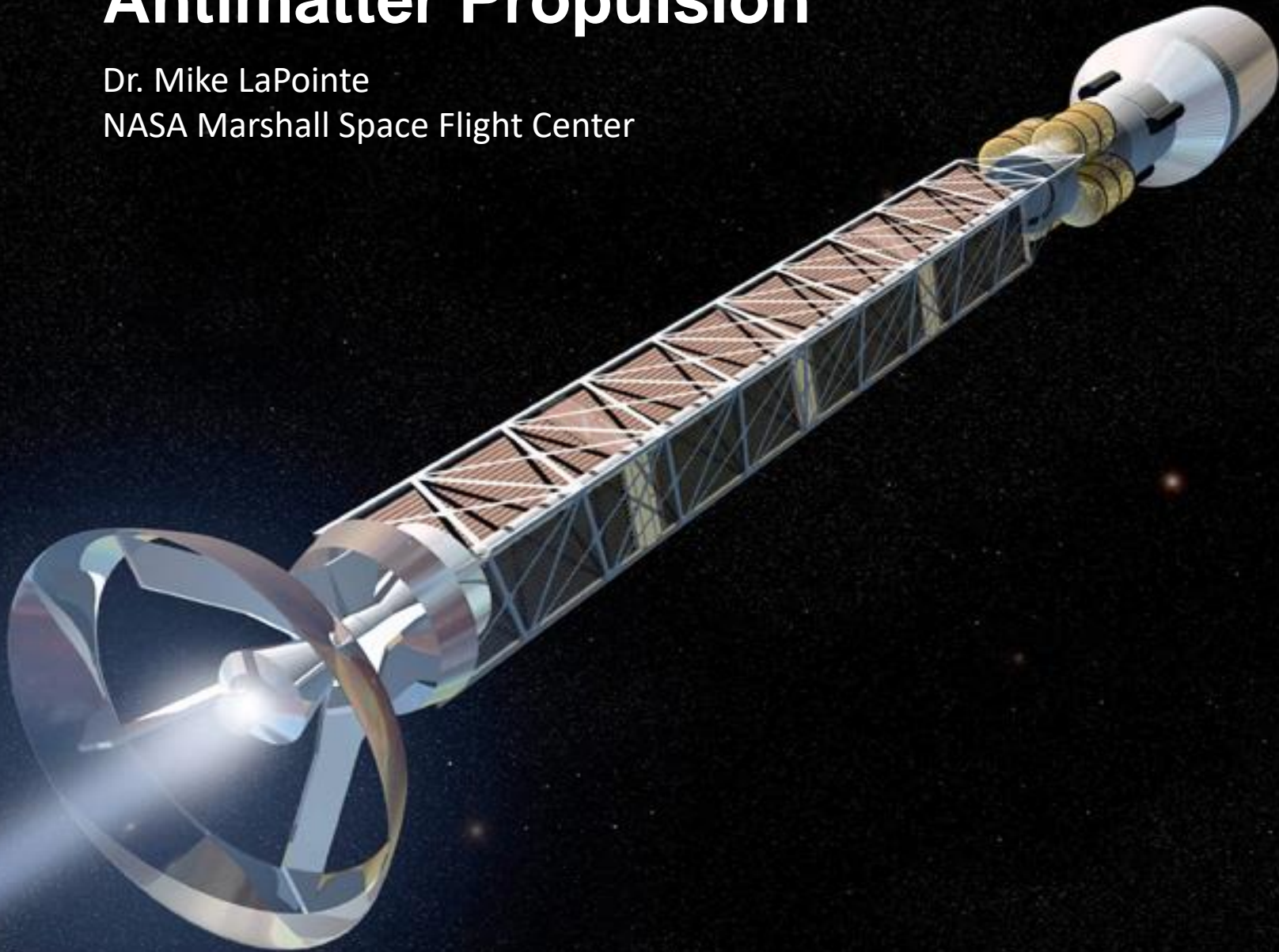


Antimatter Propulsion

Dr. Mike LaPointe

NASA Marshall Space Flight Center



A Quick History



- **1928:** Dirac's relativistic wave equation predicts the existence of antielectrons (positive electrons, or positrons)
- **1932:** Carl Anderson experimentally confirms the existence of positrons by studying the tracks of particles created by cosmic rays in a cloud chamber
- **1955:** Segrè, Chamberlain and colleagues confirm the existence of antiprotons using Berkley's high energy Bevatron accelerator
- **Now:** Antimatter can be routinely (if expensively) created and stored at large high energy accelerators such as CERN, FNAL/FermiLab, and the Facility for Antiproton and Ion Research (FAIR; in development in Germany).

Interest for Propulsion



Energy!

- Fast trip times require high spacecraft velocities
- Higher spacecraft velocities require more kinetic energy
- High energy density propellants generate higher exhaust velocities and provide better payload fractions

$$\frac{M_f}{M_0} = e^{-\Delta V/v_e} \left\{ \begin{array}{l} M_0 = \text{initial vehicle mass (total, including propellant)} \\ M_f = \text{final vehicle mass after propellant consumed} \\ \Delta V = \text{Required velocity change for the mission} \\ v_e = \text{Propellant exhaust velocity} \end{array} \right.$$

If $v_e \ll \Delta V$ then $M_f \ll M_0$ and most of the vehicle mass is propellant

Energy Density



Total energy released per unit mass:

Chemical combustion: ~ a few MJ/kg

- Solid Propellants: ~ 5 MJ/kg
- Liquid Propellants: ~ 1 MJ/kg

Nuclear Fission (U^{235}): ~ 8×10^7 MJ/kg

Nuclear Fusion (D-T): ~ 3.5×10^8 MJ/kg

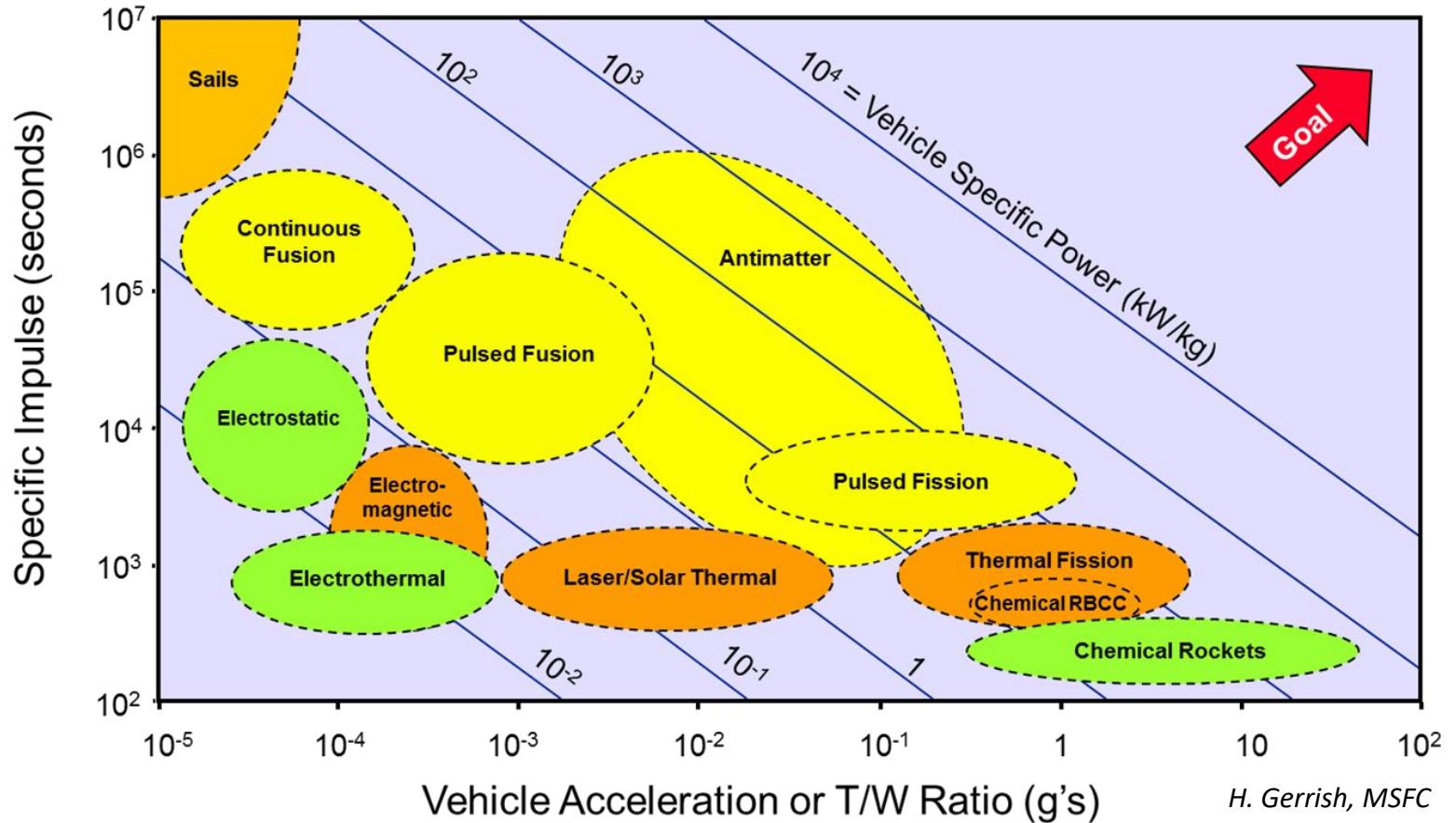
Antimatter: ~ 9×10^{10} MJ/kg (combined with matter)

- About 10 billion times more than chemical combustion
- About 1000 times more than nuclear fission
- About 300 times more than nuclear fusion



A lot of energy if we can use it efficiently!

Propulsion Landscape



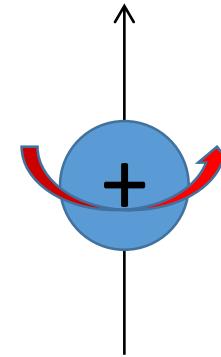
H. Gerrish, MSFC

- Unproven Technology (TRL 1-3)
- Demonstrated Technology (TRL 4-6)
- Operational Systems (TRL 7-9)

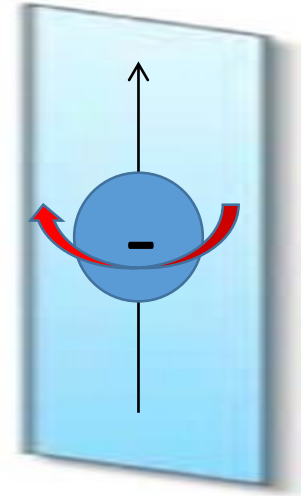
What is Antimatter?

- **Compared to its matter counterpart, antimatter has:**
 - The same mass & lifetime
 - Opposite electrical charge
 - Opposite magnetic moment
- **Every particle has an antiparticle**
(photons, π^0 and μ^0 mesons are their own antiparticles)
- **Anti-elements can be built up from antiparticles**
- **Antihydrogen ($\bar{\text{H}}$):**
 - Positron (antielectron) orbiting an antiproton
 - Fleetingly created at the CERN accelerator in 1995
 - Stably created and studied by ATHENA/CERN in 2002
 - Neutral antihydrogen atoms briefly trapped by ALPHA/CERN in 2010
 - Antihydrogen atoms can now be routinely trapped for 1000's seconds

“Mirror Matter”



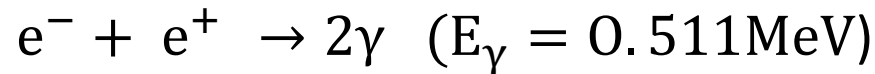
Particle



Antiparticle



Electron - Positron Annihilation



- Rest mass energy per pair = $2(m_0c^2) = 1.64 \times 10^{-13} \text{ J} = 1.02 \text{ MeV}^*$
- Each electron-positron annihilation results in 2 high-energy gamma rays, each with half the energy of the $e^{-}e^{+}$ rest mass, plus any kinetic energy carried by the pair prior to their annihilation
- γ -radiation doesn't couple well into a propellant due to low absorption cross section (long path lengths)
- Hard to collimate if we want to exhaust them directly for thrust

*Particle physics typically uses units of eV (electron volts) to describe particle energies; $1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$; very small energy units (takes $\sim 82.6 \text{ kJ}$ to boil a cup of water, or about $5 \times 10^{23} \text{ eV}$)

Matter-Antimatter Reactions



Proton – Antiproton Annihilation Products

$$p + \bar{p} \rightarrow \sim 1.5\pi^+ + \sim 1.5\pi^- + \sim 2\pi^0$$

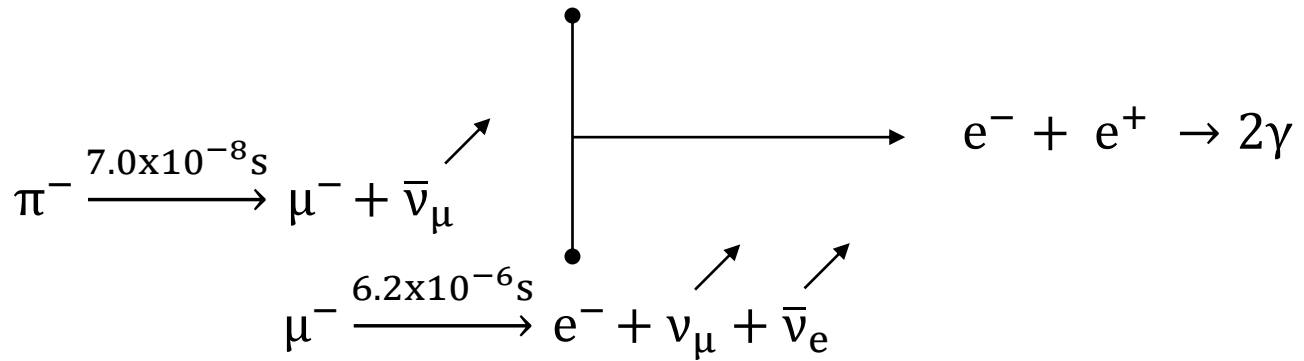
$$\pi^0 \xrightarrow{2.2 \times 10^{-17} \text{ s}} 2\gamma$$

Immediate decay

$$\pi^+ \xrightarrow{7.0 \times 10^{-8} \text{ s}} \mu^+ + \nu_\mu$$

Neutrinos immediately lost

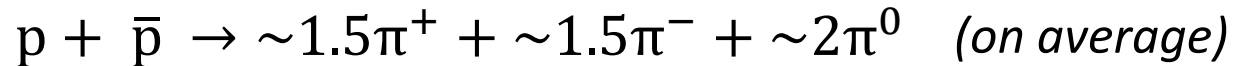
$$\mu^+ \xrightarrow{6.2 \times 10^{-6} \text{ s}} e^+ + \nu_e + \bar{\nu}_\mu$$



Matter-Antimatter Reactions



Where Does the Annihilation Energy Go?



Total p - \bar{p} rest
mass energy:
 ≈ 1880 MeV

Each π^+ and π^- :
Rest mass: 139.6 MeV
Kinetic energy: ≈ 250 MeV

Each π^0 :
Rest mass: 135 MeV
Kinetic energy: ≈ 220 MeV

690 MeV \rightarrow rest mass energy of all the pions (37%)

750 MeV \rightarrow total kinetic energy of the 3 charged pions (40%)

440 MeV \rightarrow total kinetic energy of the 2 neutral pions (23%)

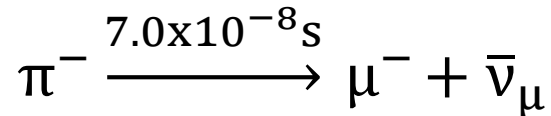
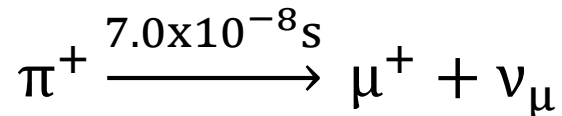
1880 MeV: $p\bar{p}$ annihilation energy (collision at rest)

- Neutral pions quickly decay into γ -rays ($E_\gamma \approx 130$ -300 MeV)
- At rest the charged pions would decay in 22 ns, but at 250 MeV they're traveling 0.93c and last for 70 ns (traveling about 21 m)

Matter-Antimatter Reactions



Charged Pion Decay:



Each charged pion (π^{\pm}):

- 139.6 MeV rest mass energy
- 250 MeV kinetic energy
- ≈ 390 MeV/pion

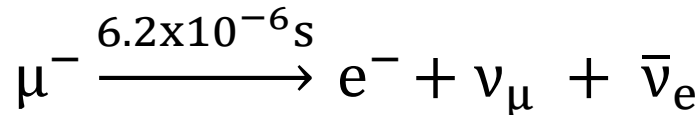
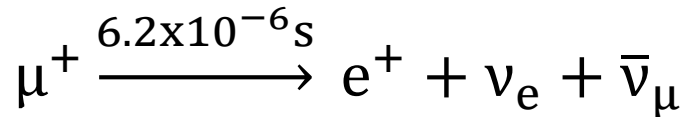
Where does the 390 MeV/pion energy go?

- **Charged muon (μ^{\pm}):**
 - 105.7 MeV \rightarrow rest mass energy per muon
 - 192.3 MeV \rightarrow kinetic energy per muon
 - 298 MeV per muon
- Remaining energy (92 MeV) carried away by the neutrinos (lost from the system; no interactions)
- Muons traveling $0.94c$; lifetimes extended from $2.2\mu\text{s}$ to $6.2\mu\text{s}$

Matter-Antimatter Reactions



Charged Muon Decay:



Each charged muon (μ^\pm):

- 105.7 MeV rest mass energy
- 192.3 MeV kinetic energy
- 298 MeV/muon

Where does the 298 MeV/muon energy go?

- **Positron or Electron (e^\pm):**

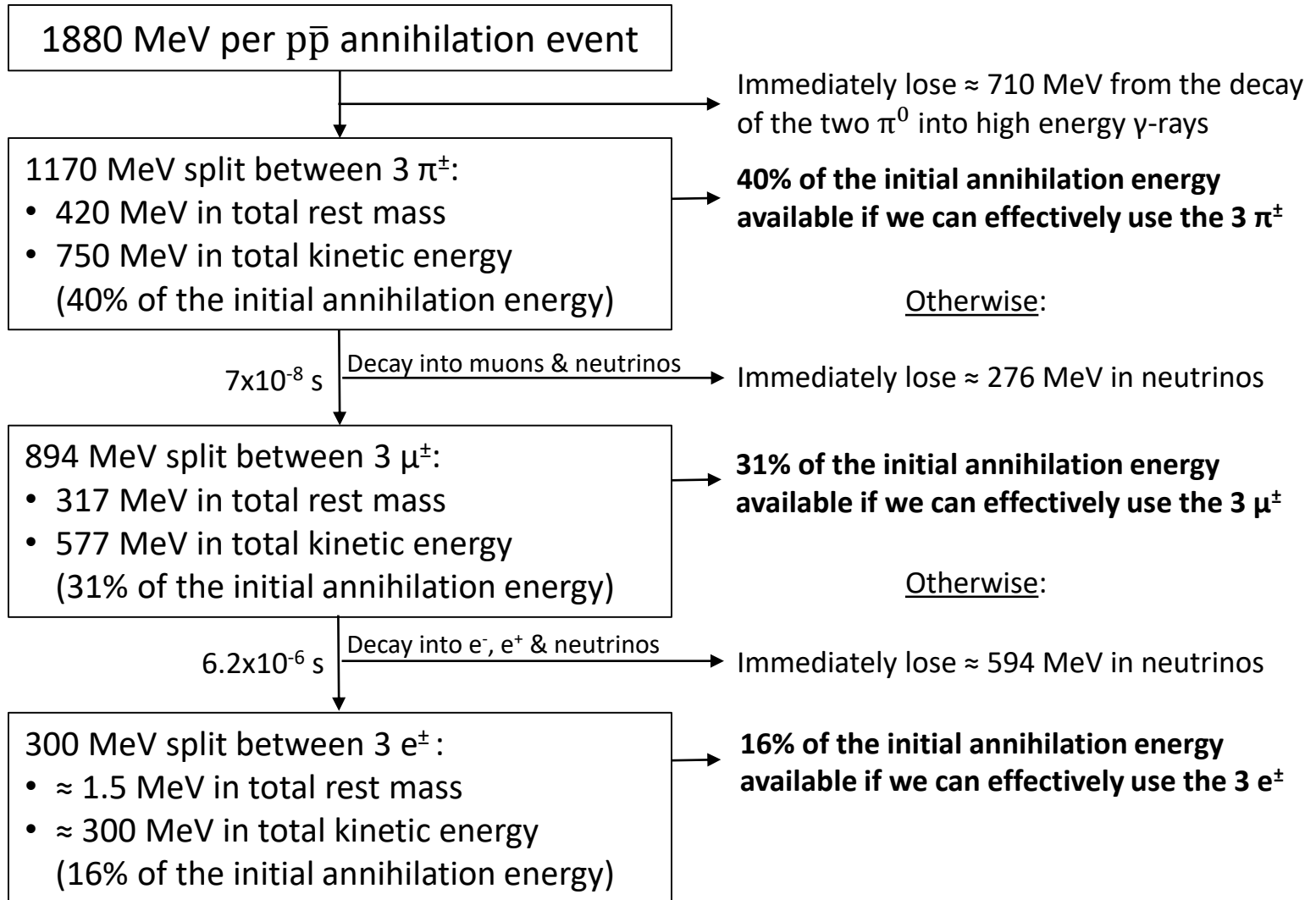
0.511 MeV \rightarrow rest mass energy of positron or electron

≈ 100 MeV \rightarrow kinetic energy of positron or electron

≈ 100.5 MeV per electron or positron

- Remaining energy (≈ 198 MeV) carried away by the neutrinos (lost from the system; no interactions)
- Positrons may annihilate with electrons to produce γ -rays

Summary: $p\bar{p}$ annihilation



\bar{p} – Heavy Nucleus Reactions



- Antiproton annihilation with heavier nuclei results in fragmentation (and fission in very heavy nuclei)
- Morgan (1986) analyzed theoretical and experimental data of the kinetic energy of charged nuclear fragments emitted after antiproton annihilation with a nucleus
 - Fraction of annihilation energy available as kinetic energy of heavier nuclear fragments $\approx 10\%$ for nuclei as heavy as silicon, and $\approx 20\%$ for very heavy nuclei (including release of fission energy, e.g. splitting ^{235}U)
- Easier to couple the kinetic energy of heavier charged fragments to a working fluid, but charged pions from $p\bar{p}$ have higher energy fraction (40%) if we can use them

Positrons: Sanger Photon Rocket



- 1953: Proposed redirecting the energetic γ -rays from the e^-e^+ reaction to produce thrust (\bar{p} hadn't been discovered yet)
- Unfortunately there is no feasible method to reflect the high energy γ -rays, resulting in a very low engine efficiency

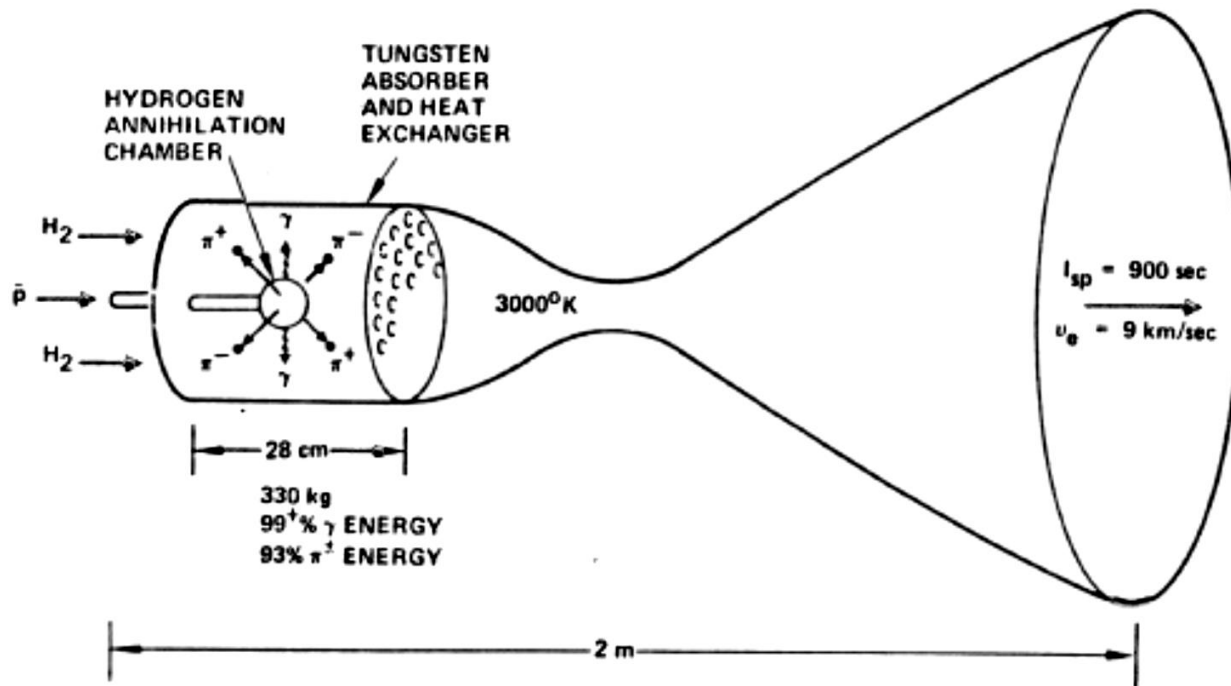
Option:

- The γ -rays could be used to heat a refractory absorber, which then heats a propellant flowing through a heat exchanger
- Challenge: storage density of positrons may be so low that the mass of the e^+ storage facility overwhelms potential benefits (more on antimatter storage later...)

Antimatter Propulsion Concepts



Proton-Antiproton Solid Core Engine

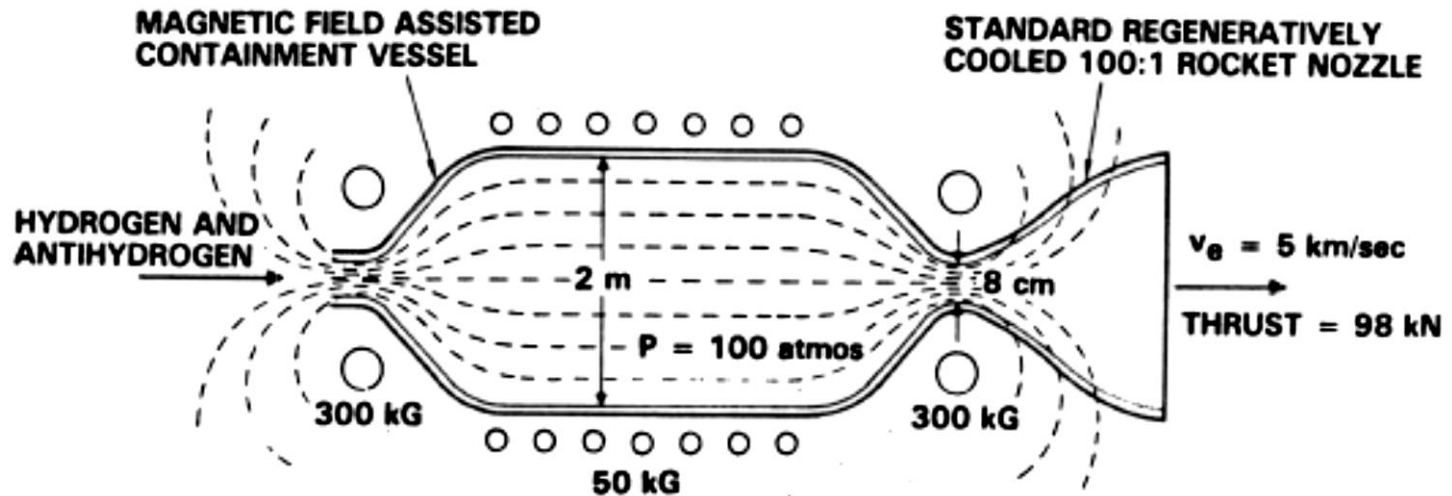


- > 90% transfer of annihilation energy to tungsten block
- Similar performance to an NTP engine ($I_{sp} \sim 900 \text{ s}$, high thrust)
- Typical \bar{p} mass flows \sim several $\mu\text{g/sec}$ (material temperature limits)

Antimatter Propulsion Concepts



Proton-Antiproton Gas Core Engine

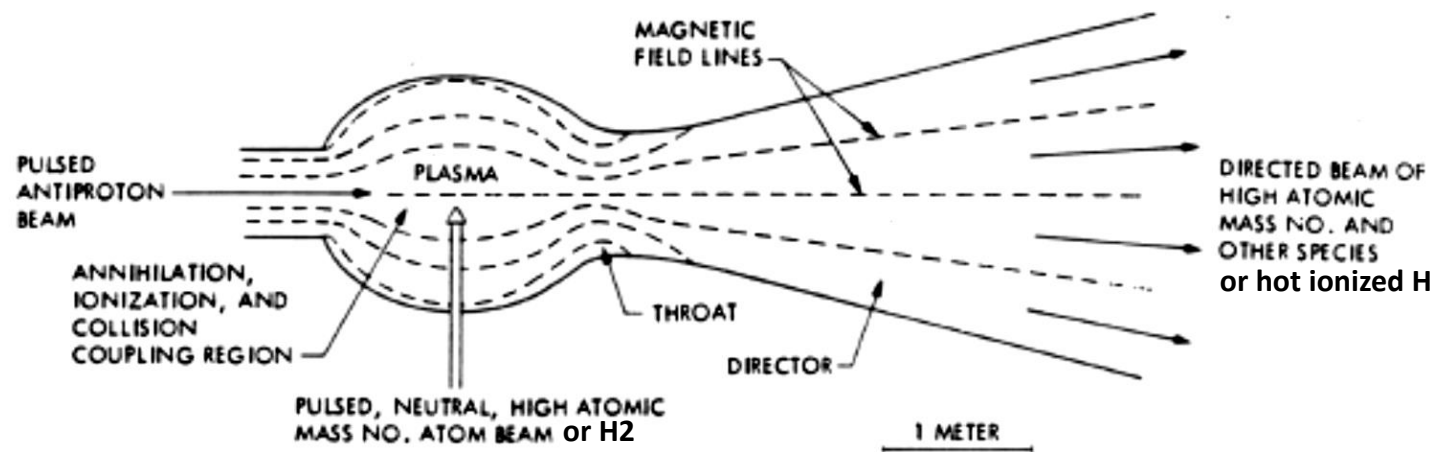


- About 35% energy transfer to the high pressure hydrogen propellant
- Specific impulse similar to chemical engines (~ 500 s), high thrust
- Variants include liquid hydrogen for better transfer efficiency
- Typical antiproton mass flow rates ~ 10 's $\mu\text{g}/\text{sec}$

Antimatter Propulsion Concepts



Proton-Antiproton Plasma Core Engine



- Charged particles trapped and guided by strong magnetic fields
- Higher Isp than chemical engines (several 1000 s), moderate thrust
- Annihilation energy transferred to hydrogen is only about 1-2%*
- Typical pulse $\sim 10^{18}$ \bar{p} (depending on rep rate, ~ 100 's $\mu\text{g}/\text{sec}$)
- Detailed numerical studies not yet performed for heavier elements

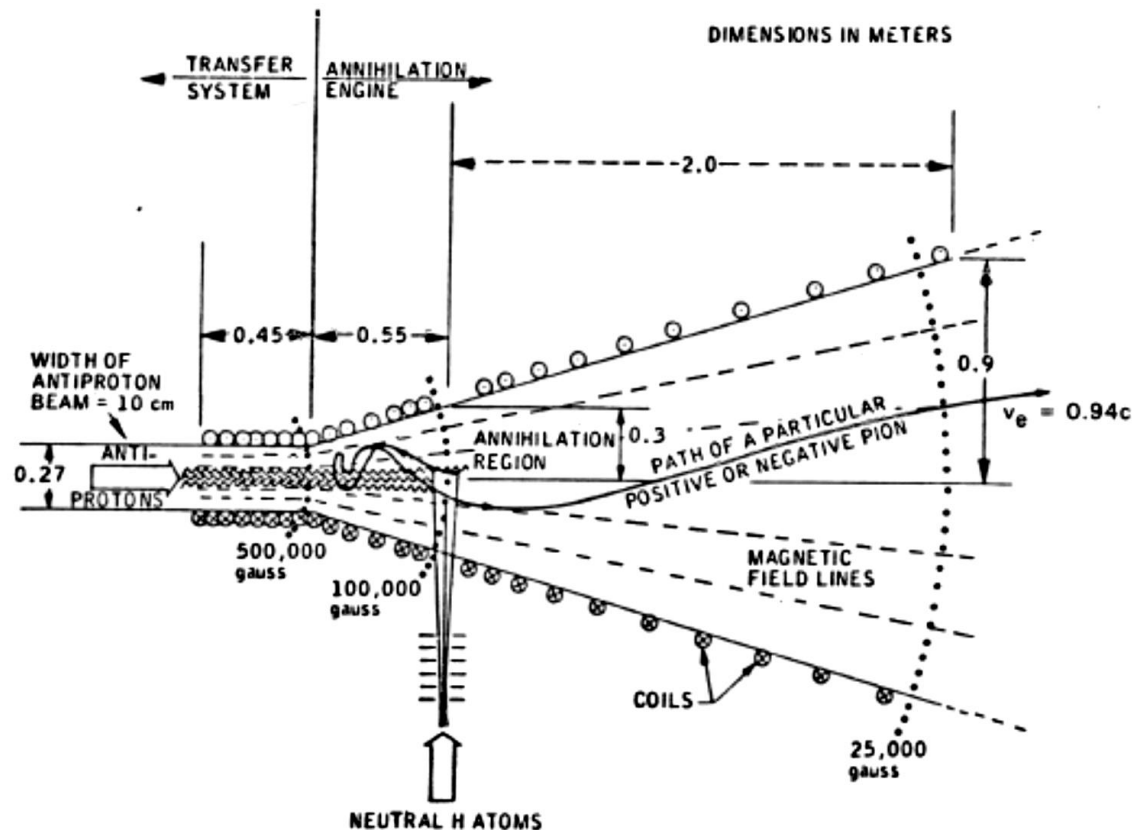
Figure: Forward, R. L., *Antiproton Annihilation Propulsion*, AFRPL TR-86-034, AFRPL/LKC, Edwards AFB, Ca., Sep. 1985

* LaPointe, M., "Antiproton Powered Propulsion with Magnetically Confined Plasma Engines," *J Prop & Power*, 7 (5), 1991

Antimatter Propulsion Concepts



Proton-Antiproton Beam Core Engine



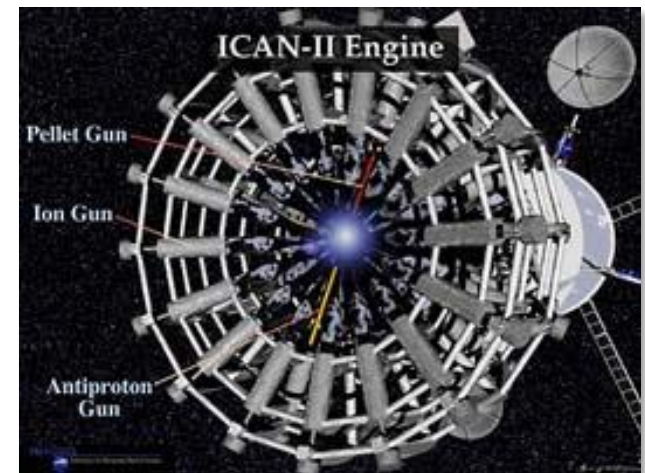
- Charged pions directed by magnetic nozzle; contain 40% of the initial annihilation energy
- Very high Isp (~ 28 million seconds) but low thrust (typically 10's N)
- Typical \bar{p} flow rate ~ 100's $\mu\text{g}/\text{sec}$

Antimatter Propulsion Concepts



Antiproton Catalyzed Microfission/Fusion (ACMF)

- Similar to the Orion pulsed nuclear engine concept
- Spherical fuel pellets (3 g; molar ratio $D:U^{235} = 9:1$) coated with 200 g of lead
- Pellet radially compressed with ion drivers; 2-ns pulse of 10^{11} \bar{p} injected to initiate fission in U^{235}
- High energy fission products rapidly heat target and initiate DD fusion
- Releases ≈ 300 GJ energy
 - 83% radiation energy
 - 15% neutron energy
 - 2% ion % electron energy
- Lead reradiates 1-keV photons, which ablate a SiC plate to produce thrust
- 1 Hz rep rate: Thrust $> 100,000$ N, $I_{sp} > 10,000$ s



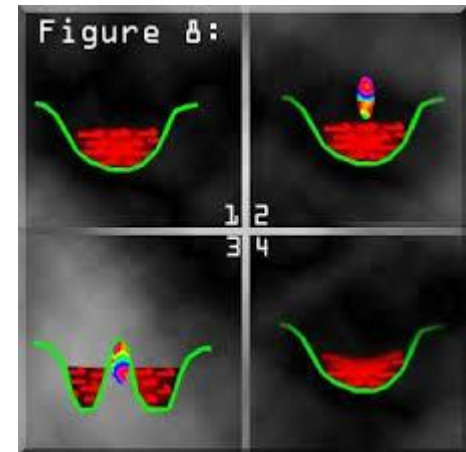
<https://science.nasa.gov>; 1997

Antimatter Propulsion Concepts



Antimatter Initiated Microfusion (AIM) Starship

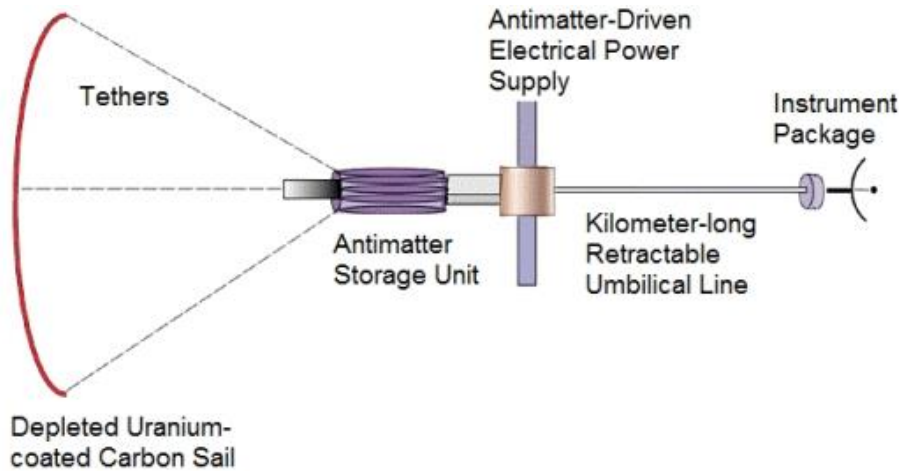
- 10^{11} antiprotons confined in Penning trap (potential well)
- 42 ng of D-He³ fuel injected into the trap along with a small amount of fissile material
- A fraction of the antiprotons annihilate with the fissile material; the resulting energetic particles rapidly ionize the D-He³ fuel
- Fusion initiated as the fuel is further compressed in the potential well; hot plasma exhausted to produce thrust
- Potential well relaxed, additional \bar{p} injected, process repeats
- Produces ≈ 2 -N thrust, $I_{sp} \approx 67,000$ s
- 200 Hz rep rate over 4-5 years delivers a 100-kg payload to 10,000 AU (Oort cloud) in about 50 years, using 5.7 mg of \bar{p}



Antimatter Propulsion Concepts



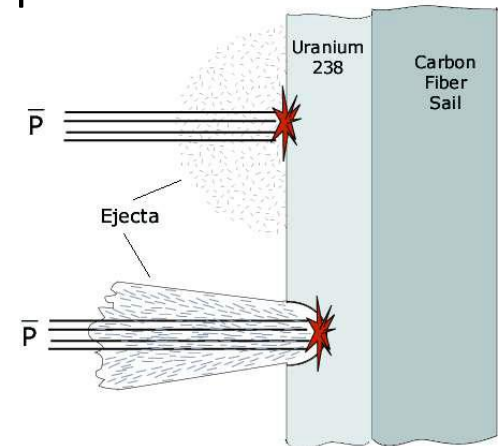
Antimatter Driven Sail



- Antiprotons directed at uranium sail coating
- Resulting fission products traveling $\approx 10^7$ m/s
- $I_{sp} \approx 10^6$ s

Preliminary mission analysis:

- 10 kg instrument payload could be sent to 250 AU in 10 years using 30 mg of \bar{H}
- A similar probe could be sent to Alpha Centaur in 40 years using grams of \bar{H}



Depositing \bar{p} deep in the uranium produces multiple atom ejections, increasing thrust and reducing I_{sp}

Challenges: Production



Positrons:

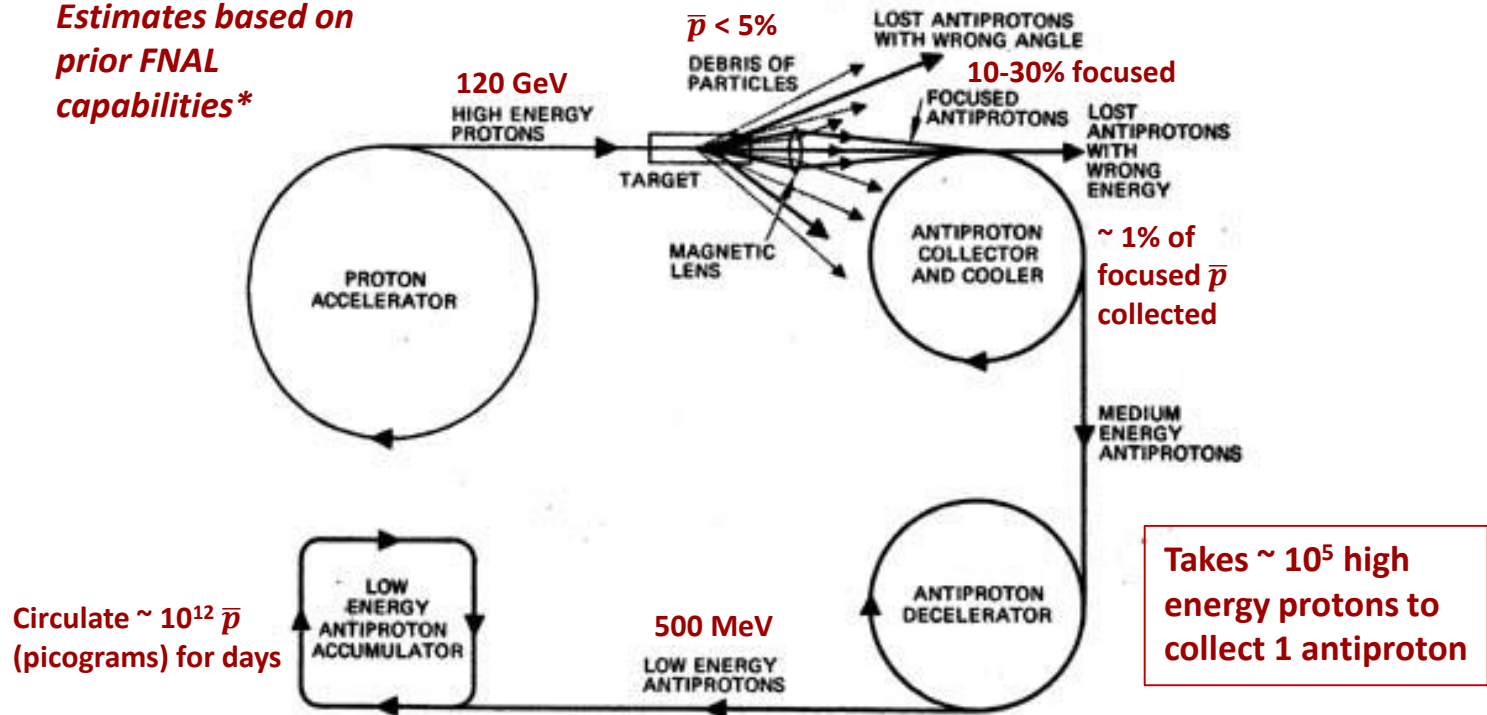
- **Radioactive emitters (β^+ decay)**
 - Proton in radionuclide nucleus $\rightarrow n + e^+ + \nu_e$
 - Short-lived isotopes created using cyclotrons
 - β^+ emitters used in PET scans (e.g. C^{11} , N^{13} , F^{18} , etc.)
 - Rare in nature: 0.001% of $K^{40} \rightarrow Ar^{40}$ via β^+ decay
 - 1 banana produces a positron \sim every 75 minutes!
- **Pair creation (e^+e^-)**
 - Requires focusing $2m_0c^2$ of energy in a very small volume to create a particle-antiparticle pair
 - Particle accelerators (colliding beams with targets)
 - Vacuum pair creation by energetic photons (laser; γ -rays)
 - Cosmic rays colliding with nuclei in the atmosphere (cascade into pions, muons, energetic γ -rays and e^+e^- pairs)

Production

Antiprotons:

- Created in high energy particle accelerators
 - Generally not optimized for antiproton production
- Collide a high energy proton beam with a target:

*Estimates based on prior FNAL capabilities**



*Forward, R and J. Davis, *Mirror Matter: Pioneering Antimatter Physics*, Wiley Science, 1998

How Much Has Been Made?



Total amount of antiprotons produced:

- FermiLab (US) ≈ 15 ng
- DESY (Germany) ≈ 2 ng
- CERN (Switzerland) ≈ 1 ng

How much annihilation energy is this?

- $E = 2m_0c^2 \approx 3.2 \times 10^6$ J
- Enough to boil about 1 liter of water

Enough to do physics experiments and ground based propulsion concept testing

- Not optimized for efficient antiproton production
- Interesting potential spin-off for beam cancer therapy
 - Focused beams deposit kinetic energy to heat tumors, with an extra burst of local energy gained from antiproton annihilation

Antiproton Production Cost Estimate

Schmidt et al. estimated the energy cost (K) as:

$$\mathbf{K} = \mathbf{k}_{\text{grid}}\mathbf{E}_{\text{grid}}$$

- \mathbf{k}_{grid} = unit cost of electrical power (\$/kW-hr)
- $\mathbf{E}_{\text{grid}} = M_a c^2 / \eta_{\text{tot}}$ = energy required to create an amount of antimatter M_a with efficiency η_{tot}
- Efficiency η_{tot} can be expressed as $\eta_{\text{tot}} = \eta_{\text{conv}}\eta_{\text{grid}}$, where:
 - η_{conv} = efficiency of the antimatter production and collection process
 - η_{grid} = electrical efficiency of the accelerator system

Cost Estimate:



Substituting values yields:

$$K = \frac{k_{\text{grid}} M_a c^2}{\eta_{\text{conv}} \eta_{\text{grid}}}$$

Rough estimate based on FermiLab values:

- k_{grid} = wall plug power \approx **\$0.10/kW-hr** ($\$2.8 \times 10^{-8}/\text{J}$)
- M_a = antimatter rest mass collected (kg)
- η_{grid} = electrical efficiency of the accelerator system \approx **5×10^{-3}**
14 MW of power required to deliver 5×10^{12} 120-GeV proton beam every 1.5 s onto production target \rightarrow power in beam $\approx 6.4 \times 10^4$ W; $6.4 \times 10^4 / 14 \times 10^6 \approx 5 \times 10^{-3}$
- η_{conv} = efficiency of production and collection process \approx **7.8×10^{-8}**
Rest mass energy of $\bar{p} = 938$ MeV = $9.38 \times 10^8 / \bar{p}$. Energy to create and collect one $\bar{p} = 120$ GeV/proton $\times 10^5$ p/ $\bar{p} = 1.2 \times 10^{16}$ eV/ \bar{p} ; $9.38 \times 10^8 / 1.2 \times 10^{16} = 7.8 \times 10^{-8}$

$$\frac{K}{M_a} = \frac{k_{\text{grid}} c^2}{\eta_{\text{conv}} \eta_{\text{grid}}} = \frac{(\$2.8 \times 10^{-8} / \text{J}) (3 \times 10^8 \text{ m/s})^2}{(5 \times 10^{-3}) (7.8 \times 10^{-8})} \approx \frac{\$6.4 \times 10^{18}}{\text{kg}} = \boxed{\frac{\$6.4 \times 10^{12}}{\text{mg}}}$$

How Much Do We Need?



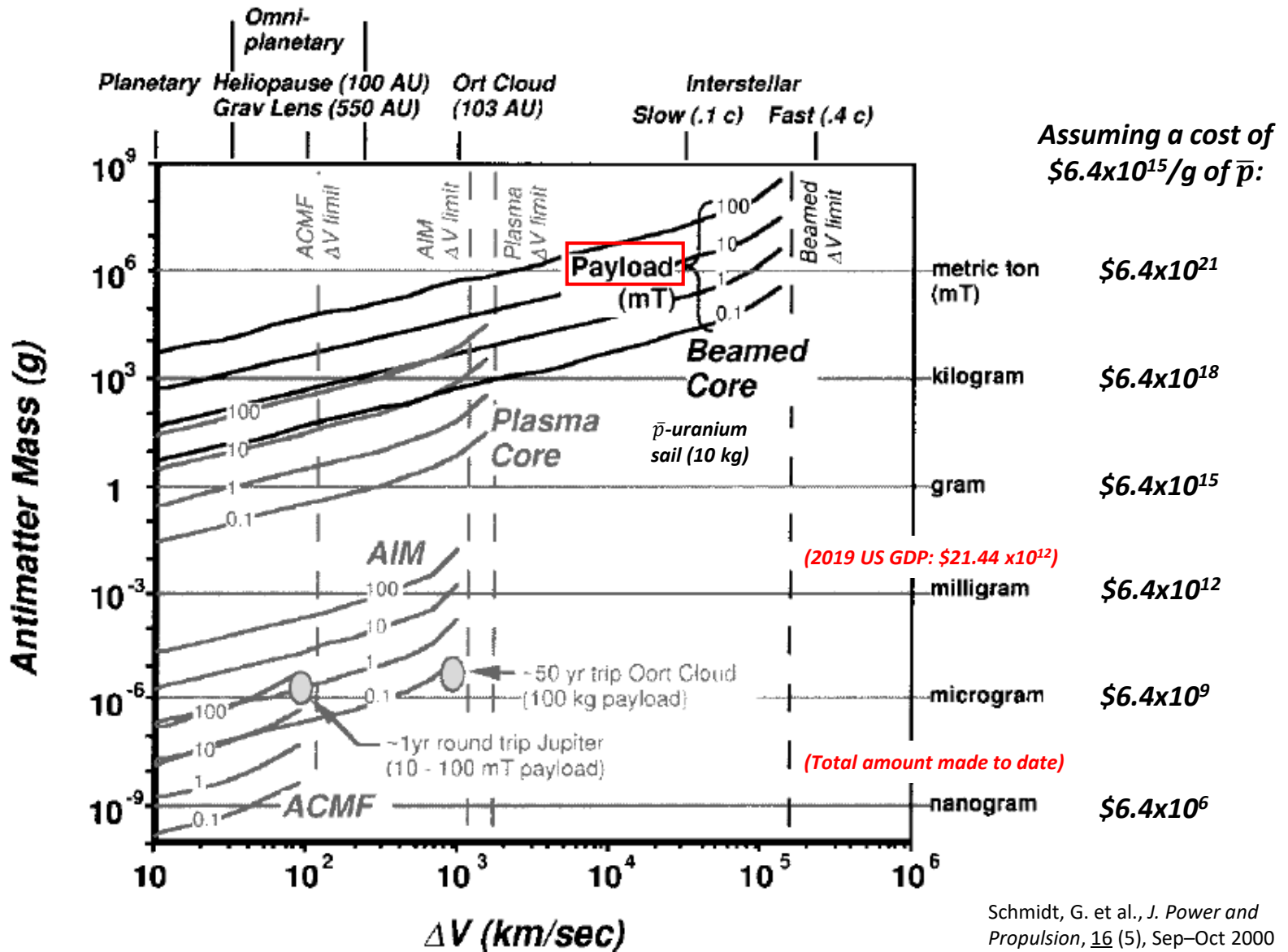
ΔV for representative missions of interest:

Table 1 Reference missions

Mission	Description	Typical ΔV , km/s
Planetary	Deep space robotic missions throughout solar system	10
Omniplanetary	Ambitious human exploration throughout solar system	30–200
100– 1000 AU	Interstellar precursor missions to heliopause (100 AU) and gravity lens focus (550 AU)	100
10,000 AU	Interstellar precursor mission to Oort Cloud (10,000 AU)	1,000
Slow interstellar	4.5 light yr in 40 yr	30,000 (= 0.1c)
Fast interstellar	4.5 light yr in 10 yr or 40 light yr in 100 yr	120,000 (= 0.4c)

Schmidt, G. et al., "Antimatter Requirements and Energy Costs for Near-Term Propulsion Applications," *J. Power & Propulsion*, 16 (5), Sep–Oct 2000

Amount of Antimatter Required

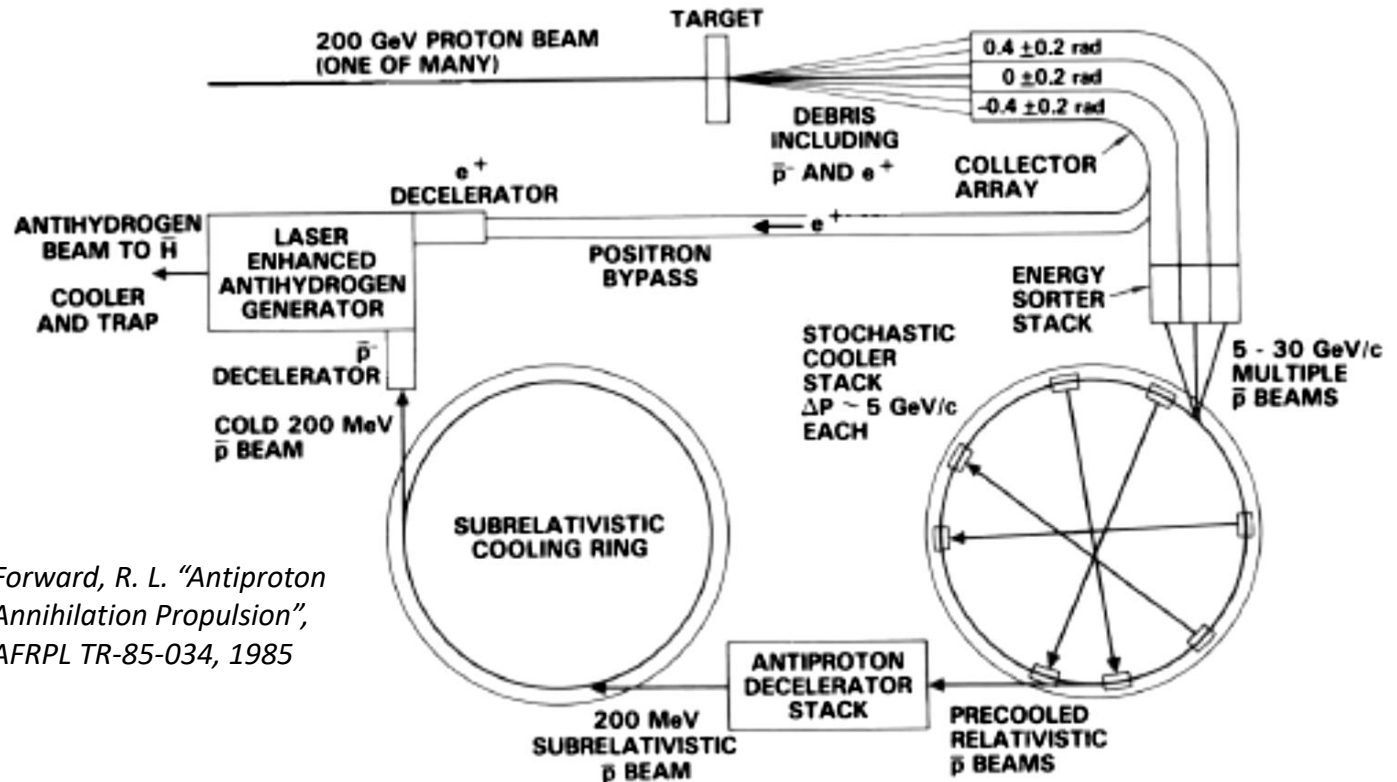


Improving Production



- **Accelerator facilities are not designed as antiproton factories**
 - A full year of dedicated operation at FNAL, assuming prior capabilities, would produce \approx ng/year
- **Improvements to traditional facilities could increase \bar{p} production to \approx μ g/year**
 - Use thinner targets for more focused \bar{p} beams
 - Improve antiproton collection & storage methods
 - Optimize proton acceleration energy and duty cycle
- **1998 Rand Corp study evaluated the cost of a dedicated ground-based facility to produce \approx several mg/year**
 - Facility cost \approx $\$(3-10) \times 10^9$ to build
 - Could bring cost down to $\$6.4 \times 10^{10}$ per gram
 - *Proceedings of the Rand Workshop on Antiproton Science and Technology*, World Scientific, Singapore, 1988

Antiproton Factory (R. Forward)



Forward, R. L. "Antiproton Annihilation Propulsion", AFRPL TR-85-034, 1985

- Uses high efficiency linear accelerator for multiple high energy proton beams
- Positrons sent to decelerator, then to laser-enhanced antihydrogen generator
- Antiprotons collected using an array of wide angle collecting lenses and sent to stochastic coolers, then decelerator, then cooling ring before being sent to the antihydrogen generator to combine with positrons to make $\bar{\text{H}}$ atoms
- Very optimistic cost estimate: $\$10^{10}/\text{g}$ (comparable to Rand study)

Space-Based Production



Potential advantages:

- Hard vacuum
- Abundant solar power
- Fuel vehicles in space (vs. launching \bar{p} from ground)

Challenges:

- Requires a lot of infrastructure (accelerator, collector, storage rings, etc.)
- Requires a large amount of solar arrays (100's MW)
- Will be expensive to launch, assemble, operate and repair

Capture antimatter already in space?

- Very low density cloud of antimatter particles trapped in Earth's van Allen belts; capture for propulsion?

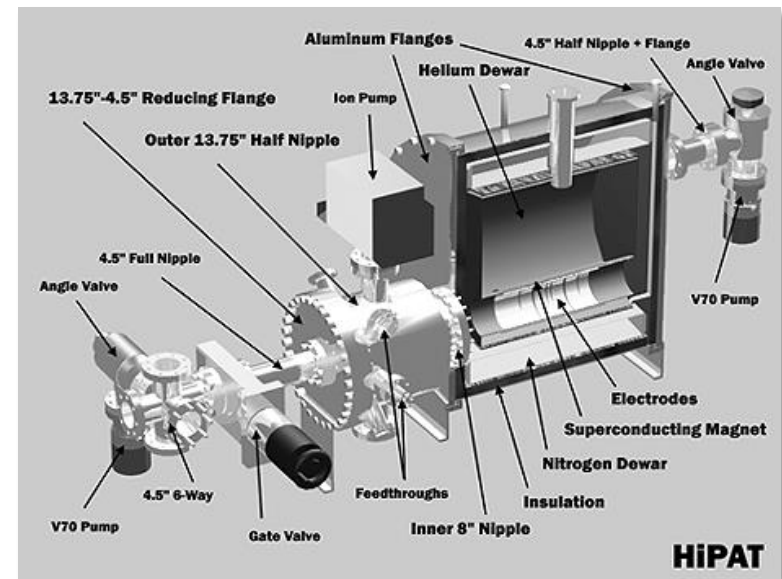
(e.g. <https://www.sciencemag.org/news/2011/08/antimatter-belt-found-circling-earth>)

Challenges: Storage



Density of antiprotons or positrons limited by space charge

- Facility accumulator rings can hold $\approx 10^{12} \bar{p}$ (1.7×10^{-12} g, or picograms) for indefinite periods of time
- A portable High Performance Antiproton Trap (HiPAT) developed by NASA was designed to hold $10^{12} \bar{p}$ for up to 18 days using a Penning-Malmberg electromagnetic trap
 - For \bar{p} testing at MSFC
 - Built but never used
- 1000s of traps required to hold nanograms of antimatter



Solid Antihydrogen



\bar{H} atoms are currently made and trapped; cool and cluster to create solid \bar{H} to improve storage density?

- Can't touch walls, so nucleation approaches used with normal hydrogen won't work; need to nucleate directly from cold, trapped antihydrogen atoms
- Laser cool \bar{H} atoms to form and trap \bar{H} molecules, accumulate sufficient molecules to begin condensing into microcrystals of \bar{H} ice?
- Cluster ion formation, in which large numbers of \bar{H} atoms cluster around a single charged \bar{p} ; continue adding \bar{H} atoms to grow cluster into microcrystal?

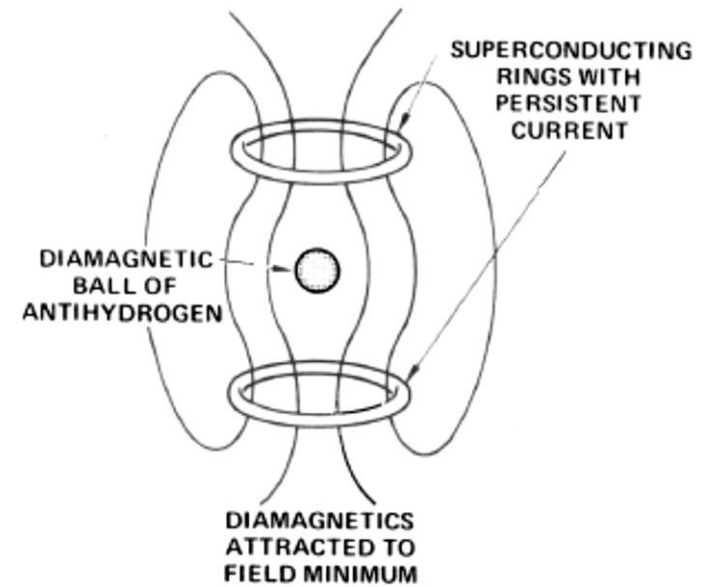
Critical area that still needs a lot of work!

Solid Antihydrogen Storage



Once created, solid antihydrogen can be more readily stored and transported

- Hydrogen and antihydrogen are diamagnetic
 - A weak magnetic dipole moment is induced in the direction opposite to an applied magnetic field
- Solid antihydrogen can be passively trapped in a “magnetic bottle”
- Alternative: electrostatic levitation between two charged electrodes
 - Weak UV light used to liberate positrons to provide a surface charge on the solid $\bar{\text{H}}$ ice



Delivering to the Engine



Feasible options exist to remove antiprotons or \bar{H} microcrystals from storage

- **If suspended as solid ball of \bar{H} ice:**
 - UV laser to drive off some positrons
 - Intense electric field to pull off charged antiprotons
 - Electromagnetically channel to annihilation engine
- **If stored as cloud of frozen \bar{H} microcrystals:**
 - UV light drives positrons from selected microcrystal
 - Electric fields pull charged microcrystal from the trapped cloud
 - Charged microcrystal electromagnetically channeled to the annihilation engine

Vehicle Design



Things to consider:

- Depends on the concept, but in general:
 - Storage during launch and in-space acceleration; methods to transport \bar{p} or e^+ to the engine
 - Magnet requirements (magnetic nozzles, etc.)
 - Radiation shielding (high energy γ -rays will damage material structures, electronics and humans)
 - Thermal radiators (need to reject a significant amount of waste heat; may be large and massive)
 - Vehicle support structures (trusses, tanks, etc.)
 - Payload placement (far away from radiation)
 - Additional propellant (to interact with antimatter)
 - Number of launches and in-space assembly

Summary



- High level look at some antimatter propulsion concepts and corresponding challenges
- Current collider facility antiproton production rates are low (ng/year) and very expensive ($\approx \$10^{12}/\text{mg}$)
- A dedicated antiproton factory could conceivably make several mg/year to grams/year of antimatter at lower cost ($\$10^7/\text{mg}$); still very expensive for concepts requiring grams of antimatter
- Methods for high density antimatter storage need to be developed and demonstrated
- Vehicle designs need to account for shielding and thermal radiators – may be big mass drivers
- Both you and bananas are radioactive

Short List of References



Some popular texts:

- Frank Close, Antimatter, Oxford University Press, 2009
- Robert Forward and Joel Davis, Mirror Matter: Pioneering Antimatter Physics, Wiley Science, 1998

A few select references:

- Forward, R. L. "Antiproton Annihilation Propulsion", AFRPL TR-85-034, 1985
- Borowski, S., "Comparison of Fusion/Antiproton Propulsion Systems for Interplanetary Travel," NASA Technical Memorandum 107030, AIAA-87-1814, 1987
- Frisbee, R., ""How to Build an Antimatter Rocket for Interstellar Missions: Systems Level Considerations in Designing Advanced Propulsion Technology Vehicles", AIAA Paper 2003-4696, July 2003.
- Gaidos, G. et al., "Antiproton Catalyzed Microfission/Fusion Propulsion Systems for Exploration of the Outer Solar System and Beyond," AIAA-98-3589, 1998.
- Gaidos, G et al., "AIMStar: Antimatter Initiated Microfusion for Pre-cursor Interstellar Missions," *Acta Astronautica* **44**, (2-4), pp.183-186, 1999
- Cassenti, B., "High Specific Impulse Antimatter Rockets", AIAA 91-2548, 1991.
- Cassenti, B., "Mass Production of Antimatter for High-Energy Propulsion," *J Power and Propulsion*, 16 (1), Jan-Feb 2000
- B. Cassenti, "Radiation Shield Analyses for Antimatter Rockets," AIAA-87-1813, 23rd Joint Propulsion Conference, June 29-July 2, 1987, San Diego, CA
- Coreano, L. and B. Cassenti, "A Comparison of Antimatter Driven Interstellar Propulsion Systems," AIAA 2004-3705, 40th AIAA/ASME/SAE/ASEE Joint Propulsion Conference, Jul 2004.

Q&A



michael.r.lapointe@nasa.gov

Office: (256) 544-6756